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China's National Defense  
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## Foreword

Mankind is about to enter the 21st century of its history. It is the aspiration of the Chinese government and people to lead a peaceful, stable and prosperous world into the new century.

At the turn of the century, an important historical period, China is devoting itself to its modernization drive. China needs and cherishes dearly an environment of long-term international peace, especially a favorable peripheral environment. The Chinese people are willing, together with the people of the other countries in the world, to make unremitting efforts for the lofty cause of promoting world peace and development, and for initiating a glorious future for mankind.

Guided by its aspiration for peace and development, China unswervingly pursues a national defense policy that is defensive in nature, keeps national defense construction in a position subordinate to and in the service of the nation's economic construction, strengthens international and regional security cooperation and actively participates in the international arms control and disarmament process. Facts show that China is a responsible big country and a firm force safeguarding world peace and stability.

In 1995 China issued a white paper titled, China: Arms Control and Disarmament, which mainly describes China's substantial efforts and progress in the sphere of arms control and disarmament. Here China wishes to present a further introduction to and exposition of her work in defense.

中国曾于1995年发表《中国的军备控制与裁军》白皮书，主要介绍了中国在军控与裁军领域的实际努力与进展。在这里，将就中国国防建设的有关情况，作进一步的介绍和阐述。

## I. The International Security Situation

Peace and development are the major themes of the present era. The striving for peace and cooperation, and the promotion of development have become irresistible historical trends.

In general, the present international security situation has continued to tend toward relaxation. With the end of the cold war, a tendency toward multipolarity has further developed both globally or regionally in the political, economic and other fields as

various world forces are experiencing new splits and realignments.

当前，国际安全形势总体上继续趋向缓和。冷战结束后，多极化趋势在全球或地区范围内，在政治、经济等领域都有新的发展，世界上各种力量出现新的分化和组合。

。 The relations among the major powers are undergoing significant and profound readjustments; various kinds of partnerships are gradually developing along the line of institutionalization; and each country is enhancing its consciousness of independence, unity for strength, and coordinated development. The overall strength of the developing countries is growing, and they are becoming an important force on the international stage. The sustained development of the multipolarity tendency and economic globalization has further deepened their mutual reliance and mutual condition and helped toward world peace, stability and prosperity. The factors for safeguarding world peace are growing constantly.

The influence of armed conflicts and local wars on the overall international situation has been remarkably weakened. In the past, when the two major military blocs confronted each other, armed conflicts and local wars in some regions seriously disturbed world security and stability. For a time in the post-cold war period, regional conflicts were still frequent, even showing a trend of escalation. In the past few years, however, some conflicts and wars that had lasted for many years have been settled, and some are being put on the track of political settlement, or are gradually being cooled down. At present, armed conflicts and local wars touched off by disputes about territory, natural resources, ethnicity or religion are relatively limited in terms of scale, intensity and region, and are under control to varying degrees. The international community is making more and more efforts to mediate such disputes, with its capability to do so improving constantly.

Military factors still occupy an important position in state security. In the new international security environment, while stressing the settlement of disputes through political, economic and diplomatic means, most countries still regard military means and the reinforcement of military strength as important ways to safeguard their own security and national interests. A profound reform in the military field led by the development of high-tech weapons is taking place throughout the world. This reform, which is developing rapidly, will exert an important and profound influence on weaponry, military system and setup, combat training and military theory. To adapt to the new situation and strive for their own advantages, many countries have readjusted their defense policies and military strategies, reduced the scale of armaments and paid more attention to improving the quality of their armed forces.

Economic security is becoming daily more important for state security. In international relations, geopolitical, military security and ideological factors still play a role that cannot be ignored, but the role of economic factors is becoming more outstanding, along with growing economic contacts among nations. The competition to excel in overall national strength, focused on economy and science and technology, is being further intensified; globewide struggles centered on markets, natural resources and other economic rights and interests are daily becoming sharper; and the quickening of economic globalization and

intensification of the formation of regional blocs render the economic development of a country more vulnerable to outside influences and impacts. Therefore, more and more countries regard economic security as an important aspect of state security. The financial crisis in Asia has made the issue of economic security more prominent, and has set a new task for governments of all countries to strengthen coordination and face challenges together in the course of economic globalization.

The political security situation in the Asia-Pacific region is relatively stable. The development of the trend toward multipolarity in this region is being quickened, and the relations among the big nations are being readjusted strategically and gradually becoming stable. Despite the emergence of a financial crisis in Asia, the Asia-Pacific region remains one of the areas with the greatest economic development vitality in the world, and developing the economy is the most important task for each country. The countries in the Asia-Pacific region rely more and more on each other economically, and, to solve their disputes by peaceful means, to stress the search for the meeting points of their common interests and to strengthen cooperation and coordination are becoming the main current of the relations among the countries of the region. Various forms of regional and sub-regional multilateral cooperation are constantly being developed, and security dialogues and cooperation are being carried out at many levels and through many channels.

However, there still exist some factors of instability both globally and regionally: Hegemonism and power politics remain the main source of threats to world peace and stability; cold war mentality and its influence still have a certain currency, and the enlargement of military blocs and the strengthening of military alliances have added factors of instability to international security; some countries, by relying on their military advantages, pose military threats to other countries, even resorting to armed intervention; the old unfair and irrational international economic order still damages the interests of developing countries; local conflicts caused by ethnic, religious, territorial, natural resources and other factors arise now and then, and questions left over by history among countries remain unsolved; terrorism, arms proliferation, smuggling and trafficking in narcotics, environmental pollution, waves of refugees, and other transnational issues also pose new threats to international security.

In May 1998, in defiance of strong opposition by the international community India flagrantly carried out nuclear tests, thus provoking a nuclear arms race in South Asia. Then Pakistan followed suit, in response to India's nuclear tests. The nuclear tests successively conducted by India and Pakistan have seriously impeded the international non-nuclear arms proliferation efforts and produced grave consequences on peace and stability in the South Asian region and the rest of the world. The task for the international community to strengthen non-proliferation mechanisms has become even more pressing now.

History has proved that the concepts and systems of security with military alliances as the basis and increasing military might as the means could not be conducive to peace during the cold war. Under the new situation, especially, enlarging military blocs and strengthening military alliances run counter to the tide of the times. Security cannot be

guaranteed by an increase in arms, nor by military alliances. Security should be based on mutual trust and common interests. We should promote trust through dialogue, seek security through cooperation, respect each other's sovereignty, solve disputes through peaceful means and strive for common development. To obtain lasting peace, it is imperative to abandon the cold war mentality, cultivate a new concept of security and seek a new way to safeguard peace. China believes that this new concept and way should include the following:

-- The relations among nations should be established on the basis of the Five Principles of Peaceful Coexistence: mutual respect for territorial integrity and sovereignty, mutual non-aggression, non-interference in each other's internal affairs, equality and mutual benefit, and peaceful coexistence. These are the political basis and premise of global and regional security. Each country has the right to choose its own social system, development strategy and way of life, and no country should interfere in the internal affairs of any other country in any way or under any pretext, much less resort to military threats or aggression.

-- In the economic field, all countries should strengthen mutually beneficial cooperation, open up to each other, eliminate inequalities and discriminatory policies in economic and trade relations, gradually reduce the development gaps between countries and seek common prosperity. Such steps can form the economic basis of global and regional security. Maintaining a normal and sound economic, trade and financial order calls for not only a perfect macro-economic management system as well as a sound system of economic operations, it also calls for strengthening regional and international economic contacts and cooperation, so as to jointly create a stable and secure external economic environment.

-- All countries should promote mutual understanding and trust through dialogue and cooperation, and seek the settlement of divergences and disputes among nations through peaceful means. These are the realistic ways to guarantee peace and security. Security is mutual, and security dialogues and cooperation should be aimed at promoting trust, not at creating confrontations, still less at directing the spearhead against a third country or infringing upon the security interests of any other nation.

As a country in the Asia-Pacific region, China places great importance on the region's security, stability, peace and development. China's Asia-Pacific security strategy has three objectives, i.e., China's own stability and prosperity, peace and stability in its surrounding regions, and conducting dialogue and cooperation with all countries in the Asia-Pacific region. Hence China devotes its efforts to promoting equal treatment and friendly cooperation with other countries, and attaches importance to developing healthy and stable relations with all countries and all major forces in the region; actively participates in regional economic cooperation and promotes an open type of regionalism; insists on handling and settling disputes among countries through peaceful means; and takes an active part in the dialogue and cooperation process aimed at regional security.

On the basis of equal consultation, mutual understanding and mutual accommodation,

China has solved in an appropriate manner border issues with most of its neighbors. As for remaining disputes on territorial and marine rights and interests between China and neighboring countries, China maintains that they are to be solved through consultation by putting the interests of the whole above everything else, so that the disputes will not hamper the normal development of state relations or the stability of the region. China has clearly stated that relevant disputes should be properly solved through peaceful negotiation and consultation, in accordance with commonly accepted international laws and modern maritime laws, including the basic principles and legal systems as prescribed in the United Nations Convention on the Law of the Sea.

Taiwan is an inseparable part of Chinese territory. It is a lofty mission and a common aspiration of all Chinese people, including the Taiwan compatriots, to put an end to the cleavage between the two sides of the Taiwan Straits and realize the reunification of the motherland. The Chinese government adheres to its stand for solving the issue of Taiwan according to the basic principle of "peaceful reunification, and one country, two systems," and resolutely opposes any attempt, by words or deeds, to split the country by creating an "independent Taiwan," "two Chinas," or "one China, one Taiwan." The issue of Taiwan is entirely an internal affair of China. Directly or indirectly incorporating the Taiwan Straits into the security and cooperation sphere of any country or any military alliance is an infringement upon and interference in China's sovereignty. The Chinese government seeks to achieve the reunification of the country by peaceful means, but will not commit itself not to resort to force. Every sovereign state has the right to use all means it thinks necessary, including military means, to safeguard its own sovereignty and territorial integrity. In deciding which way to deal with the issue of Taiwan, the Chinese government has no obligation to make a commitment to any country or any person attempting to split China. The Chinese government opposes any country selling arms to Taiwan, which not only violates the basic norms of international law but also threatens China's security and regional peace and stability.

The Chinese government steadfastly follows an independent foreign policy of peace, and stands for establishing and developing relations of friendship and cooperation with all countries on the basis of the Five Principles of Peaceful Coexistence and other commonly recognized international relationship norms. China is willing to make unswerving efforts to safeguard world peace and promote international security together with other countries.

## II. National Defense Policy

The Chinese government firmly pursues a national defense policy that is defensive in nature. The Constitution of the People's Republic of China (PRC) clearly specifies the tasks of the armed forces of the PRC as being to consolidate national defense, resist aggression, defend the motherland, safeguard the people's peaceful labor, participate in national construction and strive to serve the people. China's state interests, social system, foreign policy and historical and cultural traditions postulate that China will inevitably adopt such a national defense policy.

China has always attached primary importance to safeguarding the state's sovereignty, unity, territorial integrity and security. Following the Opium War in 1840, China was gradually reduced to a semi-colonial and semi-feudal country, and the Chinese nation was subject to the imperialist powers' invasion, oppression, bullying and humiliation time and time again. After a protracted, persistent and heroic struggle, the Chinese people won the independence for their country and the emancipation of the nation; therefore they hold dear their hard-earned right to independence. Defending the motherland, resisting aggression, safeguarding unity and opposing split are the starting point and underpinning of China's defense policy.

China being at the primary stage of socialism, the fundamental task of the state is to concentrate its strength on the socialist modernization program. The situation in which China has a large population, a poor foundation, uneven regional development and underdeveloped productive forces will continue for a comparatively long period of time to come. China is now confronted with the extremely heavy task of economic construction, so the work in defense must be subordinate to and in the service of the nation's overall economic construction. The social system, development strategy and way of life that China has chosen conform to the actual conditions of the country, and no factors prompting invasion of another country can emerge.

The development of China requires an environment of long-term international peace, especially a favorable peripheral environment. China unswervingly pursues an independent foreign policy of peace, advocates handling international affairs in light of the fundamental interests of the Chinese and other people of the world, and refrains from forming alliances with any big power or any group of countries. China holds that conflicts and disputes among countries should be solved in a peaceful way through consultation, and opposes the threat or use of force, hegemonism and power politics. China advocates establishing a new fair and rational international political and economic order, and developing relations of friendship and cooperation with all countries on the basis of the Five Principles of Peaceful Coexistence. China will always be an important force defending world peace and regional stability. Even when China becomes strong and powerful in the future, it will by no means take to the road of foreign aggression and expansion.

The defensive nature of China's national defense policy also springs from the country's historical and cultural traditions. China is a country with 5,000 years of civilization, and a peace-loving tradition. Ancient Chinese thinkers advocated ``associating with benevolent gentlemen and befriending good neighbors," which shows that throughout history the Chinese people have longed for peace in the world and for relations of friendship with the people of other countries. In military affairs, this maxim means solving disputes by non-military means, being wary of war and strategically gaining mastery by striking only after the enemy has struck. During the course of several thousand years, loving peace, stressing defense, seeking unification, promoting national unity, and jointly resisting foreign aggression have always been the main ideas of China's defense concept. The defense policy of New China has carried forward and developed such excellent Chinese historical and cultural traditions.

## **China's defense policy has mainly the following aspects:**

-- Consolidating national defense, resisting aggression, curbing armed subversion, and defending the state's sovereignty, unity, territorial integrity and security. These are the basic objectives of China's defense policy, as well as the main tasks the Chinese Constitution has entrusted to China's armed forces. China spares no effort to avoid and curb war, and to solve international disputes and questions left over by history through peaceful means. However, as long as hegemonism and power politics still exist, a country must have the capability to defend its sovereignty, unity, territorial integrity and security by military means. The modernization program of China's national defense work is entirely for self-defense, and arises from the need to safeguard the country's modernization drive and security. The size of China's armed forces is suited to the needs of defending the country's security and interests. China builds and consolidates its national defense independently and through self-reliance.

-- Subordinating national defense work to, and placing it in the service of, the nation's overall economic construction, and achieving the coordinated development of these two kinds of work. This is China's long-term basic policy for its work in defense. The modernization of the national defense of a country requires the support of its economic and technological forces; and the modernization level of national defense can only be improved gradually along with the increase of the country's economic strength. The Chinese government insists that economic construction be taken as the center, that defense work be subordinate to and in the service of the nation's overall economic construction and that the armed forces actively participate in and support the nation's economic construction. While concentrating its efforts on economic construction, the state also endeavors to improve its national defense work and to promote a coordinated development of the two.

-- Implementing the military strategy of active defense. Strategically China pursues the defensive policy featuring self-defense and gaining mastery by striking only after the enemy has struck, and adheres to the principle: "We will not attack unless we are attacked; if we are attacked, we will certainly counter-attack." China possesses a small number of nuclear weapons, entirely for meeting the needs of self-defense. China upholds the principle of self-defense by the whole people and the strategic concept of people's war, and works hard to enhance the defense consciousness of the whole people, perfect the defense mobilization system and intensify the building of the reserve force for defense. On the basis of its existing weaponry, China carries forward and develops its fine traditions. It seeks to adapt to profound changes in the world's military sphere, and makes proper preparations for defensive combat in the situation where modern technology, especially high technology, prevails.

-- Streamlining the army the Chinese way. During the new historical period, the Chinese army is working hard to improve its quality and endeavoring to streamline the army the Chinese way, aiming to form a revolutionized, modernized and regularized people's army with Chinese characteristics. Reducing quantity and improving quality is a basic principle upon which the army is to be modernized. The Chinese army strengthens itself by relying

on science and technology, and strives to make the transition from a numerically superior type to a qualitatively efficient type, and from a manpower-intensive type to a technology-intensive type. In view of the characteristics of modern wars, no effort will be spared to improve the modernization level of weaponry, reform and perfect the army system and setup, and improve the training of troops and curricula and teaching methods of military academies.

-- Safeguarding world peace, and opposing aggression and expansion. China upholds the Five Principles of Peaceful Coexistence, and handles foreign military relations and engages in military exchanges and cooperation independently. China does not seek hegemonism, nor does it seek military blocs or military expansion. China does not station any troops or set up any military bases in any foreign country. China opposes the arms race, and maintains that effective arms control and disarmament should be carried out in accordance with the principles of fairness, rationality, comprehensiveness and balance. China supports the international community in its activities to promote world and regional peace, security and stability, and also in its efforts to fairly and rationally solve international disputes and to bring about arms control and disarmament.

### III. National Defense Construction

China's national defense construction is an important part of its modernization program. Given the new historical conditions the Chinese army upholds the absolute leadership of the Communist Party of China (CPC), implements the strategic principle of active defense, emphasizes quality in army building, administrates the armed forces along legal lines, engages in army building through diligence and thrift, and actively participates in and supports national economic construction. As a result, it has made great contributions to the country's security, stability and modernization drive.

#### Defense System

In accordance with the Constitution, the National Defense Law and other relevant laws, China has established and improved its national defense system. The state exercises unified leadership over defense-related activities.

The National People's Congress (NPC) of the PRC is the highest organ of state power. It decides on the questions of war and peace, and exercises other defense-related functions and powers provided for in the Constitution. The Standing Committee of the NPC is the NPC's permanent body. It decides on the proclamation of a state of war, decides on general or partial mobilization, and exercises other defense-related functions and powers provided for in the Constitution. The president of the state, in accordance with decisions of the NPC and its Standing Committee, proclaims a state of war, issues mobilization orders and exercises other defense-related functions and powers provided for in the Constitution. The State Council directs and administrates national defense work, and the Central Military Commission (CMC) directs and assumes unified command of the nation's armed forces.



The Chinese People's Liberation Army (PLA) is organized in accordance with a system whereby the General Staff Department, the General Political Department, the General Logistics Department and the General Armament Department are placed under the leadership of the CMC. The General Staff Department organizes and leads the building-up of the nation's armed forces, and organizes and directs their military operations. The General Political Department administrates the army's Party work and organizes and conducts its political work. The General Logistics Department organizes and leads the army's logistics work. The General Armament Department organizes and leads the army's work in military equipment.

The armed forces of the PRC are composed of the PLA, both the active and reserve components, the Chinese People's Armed Police Force and the militia. The active components of the PLA comprise the state's standing army, which mainly undertakes the task of defensive combat, and helps to maintain social order, if necessary, according to law; reservists undergo military training in peacetime according to relevant regulations, and help to maintain social order, if necessary, according to law, and in wartime they shall be incorporated in the forces in active service in pursuance of the state's mobilization order. The Chinese People's Armed Police Force undertakes the tasks for maintenance of security and social order entrusted by the state. The militiamen, under the command of military organs, perform combat service support and defensive operations, and help to maintain social order. The PLA, comprised of the Army, the Navy, the Air Force and the Second Artillery Force, is organized in seven military area commands nationwide.

The state exercises unified leadership and planned control over defense research and production. The State Council leads and administrates defense research and production, as well as defense expenditure and assets. The CMC approves the military equipment system of the armed forces and military equipment development plans and programs, leads and administrates defense research and production in coordination with the State Council, and manages defense outlays and assets jointly with the State Council. The state practices a state military supplies order system to guarantee the acquisition of weapons and other war materials. The state practices a financial allocation system for defense spending. It decides the size, structure and location of the defense assets and the adjustment and disposal of these assets in accordance with the needs of national defense and economic construction.

The State Council and the CMC jointly lead mobilization preparation and implementation work. In peacetime the state conducts mobilization preparation and integrates armed mobilization of the people, mobilization of the national economy, civil air defense, national defense transportation and other mobilization preparations into the state's overall development plan and program. It improves the mobilization system step by step, and establishes a strategic materials storage system. The state attaches importance to national defense education and conducts it in line with its plan for economic and social development.

Military Legislative Work

China attaches importance to the building of a military legal system, regarding the improvement of the work in this regard as a basic approach and important guarantee for realizing defense modernization and the regularization of the armed forces. In order to meet the needs of defense and army building in the new historical period, the state has laid down the principles for administrating the armed forces along legal lines. It has improved its military legislative work comprehensively to ensure that China's defense and army building advance along a legal track and to propel it in that direction.

Since 1982 the military legislation system has been further fine-tuned as part of the state legislation system: The NPC and its Standing Committee have formulated laws on defense and army building; the CMC has formulated military laws and regulations, or jointly worked out military administrative laws and regulations with the State Council; all general departments, all services and arms and all military area commands of the PLA have drawn up military rules and regulations or jointly worked out military administrative rules and regulations with the relevant departments of the State Council. The Interim Regulations on Legislative Procedures of the PLA promulgated by the CMC contains clear-cut provisions on legislation programming and planning and the drafting, examination, promulgation and enforcement of laws and regulations, which embody the standardization and systemization of military legislation.

Over the past ten-odd years, remarkable achievements have been made in military legislation. The NPC and its Standing Committee have formulated 12 defense and army-building laws and legality-related decisions, including the National Defense Law of the PRC, Military Service Law of the PRC, Military Facilities Protection Law of the PRC, Civil Air Defense Law of the PRC, Law on the Reserve Officers of the PRC, the Garrison Law of the Hong Kong Special Administrative Region of the PRC, Military Service Regulations Pertaining to PLA Officers in Active Service, and Regulations on the Military Ranks of PLA Officers. The State Council and the CMC have worked out 40-odd military administrative laws and regulations, such as the Regulations on National Defense Transportation, Regulations on Conscription Work, Regulations on Militia Work, and Military Service Regulations Pertaining to PLA Soldiers in Active Service. The CMC has formulated 70-odd military laws and regulations, including the Regulations of the PLA Headquarters, Regulations on Political Work in the PLA, Logistics Regulations of the PLA, Routine Service Regulations of the PLA, Discipline Regulations of the PLA, and Drill Regulations of the PLA. The various general departments, services and arms and military area commands have drawn up 1,000-some items of military rules and regulations. Now, China has laws to go by basically in the principal aspects of its defense and army building, as a military legal system with Chinese characteristics now is initially in place. While adhering to the principle of suiting military legislation to its national and military conditions, China also lays stress on bringing it into line with the international military-related treaties and agreements that China has acceded to, so as to make China's military laws consistent in content with international legal norms and practices.

In the sphere of national defense construction, China has set up and improved its defense leading system and operating mechanism at both the central and local levels in accordance with the law, together with basic national defense systems and institutions, such as those of military service, mobilization, research and production, assets management and military facilities protection, as well as those of giving special care to the bereaved families of servicemen. In the area of army building, the principles defining the nature, tasks and building of the armed forces have been determined in accordance with the law, and a series of important systems and institutions are in operation, such as those of military ranks and insignia, military training, headquarters work, political work, logistic support, garrison service, and military discipline-related rewards and penalties, which ensure that national defense activities and army building can be carried out in an orderly manner, within a legal framework and along a regulatory line.

In order to guarantee the unified implementation of the nation's relevant laws and regulations in the armed forces, the state has established mechanisms of military law enforcement and military judicature, military legal institutions and legal service organizations, forming a fairly complete military legal system in the armed forces. The military-law enforcement system is mainly formed of relevant leading organs and functional departments at various levels. Besides, discipline inspection organs and financial auditing organs have been set up in units at and above the corps level, which carry out supervision and inspection over law enforcement, and garrison service organs in garrison units in large and medium-sized cities, which check, inspect and handle cases of infringements of military discipline by military personnel as well as cases of violations of relevant rules by military vehicles. The military judicial system is composed of military courts and procuratorates established by the state at the three levels of the PLA, the military area command and the corps, which, together with the PLA's security departments at various levels, exercise their respective functions and powers and handle criminal cases involving military personnel in accordance with the law. The military legal system is composed of the legal organs or personnel authorized by the Bureau of Legislative Affairs of the CMC, the various general departments, services and arms and military areas commands, and are in charge of the legal work of the entire PLA as well as the various army units. The legal service organizations are composed of legal advice offices and legal counseling stations of the army units at various levels, which provide legal advice and services to help leading military organs at various levels to make decisions as well as for individual officers and men. By the end of 1997, over 240 legal advice offices with more than 1,360 lawyers had been set up by the PLA units, in addition to more than 4,250 legal counseling stations with 65,700-some legal consultants at the grassroots level.

China attaches importance to promoting publicity and education in the law in the armed forces, bringing it into the orbit of the army's regular education and training. In order to equip officers and men with knowledge of the law in accordance with the state's unified plan on publicity and education in the law for all citizens, the Chinese armed forces carried out two sessions of the Five-Year Legal Education Program from 1986 to 1995. The Third Five-Year Legal Education Program started early in 1996.

## Defense Expenditure

China has always stressed rationally scaled expenditure on defense. The costs of defense are allocated based on the needs of defense and the country's financial capacities and the principle of overall balance. Since the introduction of the policies of reform and opening to the outside world, the Chinese government has strictly controlled its defense expenditure at a comparatively low level so that it can concentrate on economic construction.

The Chinese government has consistently stuck to the principle of strict control, strict management and strict supervision of defense spending; it has established and perfected a complete administrative and regulatory system. China's defense budget and final accounts are examined and approved by the NPC, and the state and army's auditing organs exercise strict audit and supervision of the execution of the budget.

China's expenditure on national defense falls into the following categories: personnel expenses, mainly including pay, food and clothing of military and non-military personnel; costs for maintenance of activities, mainly including military training, construction and maintenance of facilities and running expenses; and costs for equipment, including research and experimentation, procurement, maintenance, transportation and storage. In terms of the scope of logistic support, these expenditures cover not only active service personnel, but also militia and reserve requirements. In addition, a large amount of spendings are used to fund activities associated with social welfare, mainly pensions for some of the retired officers, schools and kindergartens for children of military personnel, training personnel competent for both military and civilian services, supporting national economic construction, and participation in emergency rescues and disaster relief efforts.

Plain living and hard working is a fine tradition of the Chinese armed forces. China's military personnel have launched a sequence of mass movements for practicing economy, such as conducting checkups of warehouses to make better use of the stored goods and repairing or utilizing old or discarded things. They have also done everything they can to join in agricultural and sideline production or engage in business, mainly for the purpose of providing employment for dependents of military personnel and improving the material and cultural lives of officers and men in grassroots units.

Since the introduction of the policies of reform and opening to the outside world the Chinese government has placed work in national defense in a position subordinate to and in the service of overall national economic construction and has made relatively major reductions in defense inputs. From 1979 to 1994 defense spending increased by 6.22 percent annually in absolute terms, which represented in real terms a negative growth of 1.08 percent compared to the 7.3 percent annual increase of the general retail price index of commodities in the same period.

China's annual defense outlay from 1995 to 1997 came to RMB 63.672, 72.006 and 81.257 billion yuan, respectively. The annual increase in defense outlay went for the most

part to ensure that the living standards of military personnel keep up with the nation's social and economic development and with the increase of the per capita incomes of urban and rural residents, so as to improve the living conditions of officers and men. Even so, defense spending in the total state expenditure declined annually in the same period, accounting for 9.3, 9.1 and 8.8 percent respectively.

The composition of China's defense expenditure in 1997 (Table 1) was as follows: 29.162 billion yuan for personnel expenses, accounting for 35.89 percent; 26.536 billion yuan for maintenance of activities, 32.66 percent; and 25.559 billion yuan for equipment, 31.45 percent. From the above, we can see that most of the defense outlay went to the personnel's living costs and maintenance of normal activities. In addition, more than four billion yuan, or about 5 percent, was spent to fund activities associated with social welfare.

Table 1 Composition of China's Defense Expenditure in 1997 (unit: billion yuan)

Compared with the defense expenditures of some other countries, China has a fairly low level of defense spending (Table 2).

Table 2 Comparison of China's Defense Expenditure with Those of Some Other Countries in 1997 (unit: US\$ billion)

Note: The exchange rate, calculated by China's State Administration of Exchange Control, was one US dollar=RMB 8.29 yuan in 1997.

Based on the above exchange rate, China's defense expenditure in 1997 was US\$ 9.80 billion, which was 3.67 percent of the USA's, 61.25 percent of Russia's, 27.53 percent of Britain's, 26.7 percent of France's, 22.79 percent of Japan's, and 56.98 percent of the Republic of Korea's (ROK).

China's defense expenditure is low in relative terms, as well as in absolute terms. In the past two decades the percentage of China's defense expenditure in the gross domestic product (GDP) has declined successively (Table 3). Compared with the USA, Russia, Britain, France, Japan and the ROK, China has a comparatively low burden of defense expenditure (Table 4).

Table 3 The Percentage of China's Defense Expenditure in the GDP in 1978-1997

#### Table 4 Comparison of the Percentage of China's Defense Expenditure in the GDP and Total Financial Expenditure with Those of Some Other Countries in 1997

Notes: 1. Percentages of defense expenditure in total financial expenditure.

Percentages of defense expenditure in the GDP.

2. The above data are taken from defense, financial or other government reports announced by said countries.

#### Reducing Military Personnel

In September 1997 China solemnly announced that it would reduce the number of its military personnel by 500,000 within the coming three years on the basis of its disarmament move in the 1980s, which had cut the number by one million. This important strategic decision of unilateral disarmament once again fully expressed China's genuine wish for peace. It was a new effort made by China to further promote the lowering of the world's armament level, increase mutual trust and advance the cause of peace for humanity.

Adhering to the defensive policy for national security, China has always controlled the numbers and size of its armed forces within the limit allowed by the national strength and necessary to maintain state security. After the founding of the PRC in 1949, China undertook two disarmament steps -- one in 1955 and the other in 1958. In the mid-1980s China's guideline for army building was strategically shifted from all-time preparedness against a large-scale war of aggression to peacetime construction, and the size and structure of the armed forces were adjusted accordingly. In 1985 the government decided unilaterally to cut its troops by one million men in real terms. By 1990, the total reduction had reached 1.039 million men. Since 1990 the size of the PLA has further shrunk through successive adjustments. When the drawdown of 500,000 has been completed the total size of the PLA will be 2.5 million men.

Different from many other countries, China includes all its border and coastal defense forces, military service mobilization organs, administration organs of military-run agricultural and sideline productions, civil cadres and active service personnel in the reserve service forces in the overall strength of the PLA.

China's latest disarmament move will be carried out actively and steadily, and completed within the planned three years. The reductions in the land, naval and air forces account for 19 percent, 11.6 percent and 11 percent respectively. While the numbers of men are being reduced, steps are being taken in tandem to optimize the structure, adjust the composition and intra-relationship, and enhance the competence of the armed forces by enhancing their scientific and technological knowledge, so as to raise the modernization of the Chinese armed forces to a new level.

#### Participating in and Supporting National Construction

Participating in and supporting the country's construction is an important task entrusted by the Constitution to the Chinese armed forces, and a reflection of the fundamental

purpose of the people's army -- to serve the people wholeheartedly. Since the 1980s, while fulfilling its education and training tasks, the Chinese army has taken an active part in and fully supported the nation's economic construction, and through this it has made significant contributions to the country's prosperity and development.

-- Turning military facilities over to the public or converting them to civilian use. While cutting down large numbers of personnel, the Chinese armed forces have transferred part of their military facilities to local authorities or opened them to the public to support the country's construction. Over the past 20 years China's armed forces have opened 101 airports to the public, and opened or surrendered 29 harbors and docks, more than 300 special railway lines, 90 telecommunications lines, 1,000-some warehouses and over three million square meters of land on former military reserves and some barracks facilities.

-- Participating in emergency rescues and disaster relief work. China has a vast territory, and local natural disasters are frequent. Whenever a natural disaster occurs, the armed forces are always in the forefront of efforts to protect the people's lives and save the state and people's property. Over the past two decades they have participated in emergency rescues and disaster reliefs on more than 100,000 occasions. They have mobilized more than 23 million men, and organized more than one million vehicle trips, and some 15,000 plane and ship journeys to save more than 10 million people and transport more than 200 million tons of materials out of perilous conditions.

-- Participating in the construction of key national and local projects. The armed forces have participated in the construction of many key national and local projects and undertaken urgent, difficult and dangerous tasks connected with them. In the past two decades they have devoted more than 400 million work days and organized 25 million vehicle trips to participate in and support 10,000-odd key projects, including 150 railway, expressway and underground railway projects, 340 tunnels and culverts, 260 bridges, 4,100 kilometers of highways and railways, 50 docks, 40 civil and military-civil airports, 500 energy projects, 2,000 water conservancy projects, 20,000 kilometers of optical cable telecommunication lines and 500 economic and technological development and tourism development projects.

-- Bringing the superiority of talented personnel and technology into full play and assisting people with the use of science and technology. Military academies, scientific research and medical units, as well as special technological units actively support national construction by transferring scientific and technological findings to the civilian sector or by offering it assistance in tackling key technical problems and personnel training. In the last ten years China's armed forces have supported more than 1,000 national economic construction projects with their advanced scientific and technological achievements, solved urgent and key problems for more than 150 scientific research projects, transferred 10,000-some scientific and technological findings to the civilian sector, trained nearly one million scientific and technological personnel, and helped civilian enterprises complete 900-odd technical transformation projects which enabled 320 enterprises to get out of the red and become profitable.

-- Supporting agriculture and assisting in poverty-relief and development efforts. China is a large agricultural country, so agriculture has always been the foundation of China's national economy. In the past decade, to support agricultural development China's armed forces have dredged more than 500 rivers, built 200,000-odd kilometers of irrigation channels and dams and dikes, dug more than 1,000 reservoirs, and reclaimed wasteland and leveled land of over two million hectares, thus laying a foundation for bumper harvests. Army units stationed in poverty-stricken areas have made great efforts to assist the local people to develop production, up to now helping nearly one million people in 23,000 poor areas get rid of poverty and live more comfortable lives. Especially, they have concentrated on helping the poor in 20 key areas in the Yimeng and Taihang mountains and other regions, assisting them to run 3,500-some village and township enterprises. Moreover, they have carried out 12,000 scientific and technological projects aimed at helping the poor to get rid of poverty, and offered agro-technique training courses to some 4.5 million people. Military medical organizations at various levels and army hospitals have sent medical teams to poor areas on 860 occasions, which have supported more than 8,100 township hospitals with medical equipment worth upwards of 20 million yuan, and given free training to more than 20,000 medical personnel.

-- Participating in work for the public good. In the past decade the PLA has devoted more than 100 million work days to the repair of bridges and roads, the tidying up and beautifying of the environment, and the repair and construction of water, gas and power supply projects. Altogether, it has completed over 100,000 projects for the public good and planted more than 400 million trees. Besides, it has contributed 41.5755 million yuan to the "Hope Project," together with various kinds of goods and materials worth some 11 million yuan, and helped to build 697 "Hope" primary schools, which have enabled more than 115,000 dropouts to return to school.

-- Training personnel competent both for military and civilian services. The Chinese armed forces pay great attention to training qualified personnel for the country's economic construction. To meet the needs of national economic construction and the wishes of both officers and men, the Routine Service Regulations of the PLA stipulate that every Saturday may be reserved for training personnel competent for both military and civilian services. Saturdays are also when military personnel are organized to study scientific and cultural subjects. Since the early 1980s, when the PLA started to organize these special training courses, through on-the-job training nearly one million officers have received academic certificates at or above the junior college level; more than 85 percent of the ordinary soldiers have received in-service technical training, and nearly half of them have been awarded technician's certificates of various grades. When they leave active service they have become or will become an important force promoting the country's economic construction and the overall progress of Chinese society.

### Stationing a Garrison in Hong Kong

The Chinese government resumed sovereignty over Hong Kong on July 1, 1997, and stationed a garrison of the PLA in the Hong Kong Special Administrative Region



(HKSAR) to take charge of its defense affairs. The stationing of the PLA troops in the Region is an important symbol of the Chinese government's resumption of exercise of sovereignty over Hong Kong. It is also an important guarantee for the preservation of state sovereignty and security and the maintenance of the Region's long-term prosperity and stability.

The PLA troops entered Hong Kong strictly in accordance with provisions of the law. The Basic Law of the Hong Kong Special Administrative Region of the PRC, passed at the Third Session of the Seventh NPC in April, 1990, clearly stipulated that the Central People's Government shall be responsible for administrating the defense affairs of the HKSAR. The Garrison Law of the Hong Kong Special Administrative Region of the PRC was approved at the 23rd meeting of the Standing Committee of the Eighth NPC in December 1996, and came into effect on July 1, 1997. The Garrison Law stipulates that the Hong Kong Garrison shall not interfere in the local affairs of the HKSAR; that its duties are to perform routine defense service, administrate military facilities, handle relevant foreign-related military affairs, and ensure the security and stability of Hong Kong; that its expenditures shall be borne by the Central People's Government; and that the garrison troops shall be rotated. The law contains specific provisions on the duties and rules of discipline of the garrison personnel, the judicature and other questions, fundamentally guaranteeing that the Hong Kong Garrison fulfils its defense functions along legal lines.

The PLA Hong Kong Garrison, composed of ground, naval and air forces, is under the direction of the Central Military Commission of the PRC. While performing its defense duties, the Hong Kong Garrison must abide by both national and HKSAR laws, as well as the current rules and regulations of the PLA.

After its entry into Hong Kong, the PLA Hong Kong Garrison abided strictly by the Basic Law and the Garrison Law, fulfilled its defense duties within legal framework, actively organized military training, strengthened army-building along regularization lines, studied Hong Kong's related laws, and acquainted the rank and file with the social conditions in Hong Kong. According to the Garrison Law, the Garrison established working contacts with the HKSAR government, and opened the barracks on the Stonecutters Island and Chek Chu to the public to promote Hong Kong compatriots' understanding of and trust in the garrison troops.

It is a long-term task for the PLA Hong Kong Garrison to fulfil its responsibility for Hong Kong's defense affairs. The garrison troops will consistently adhere to the principle of "one country, two systems," strictly abide by the Basic Law and the Garrison Law, and contribute to the preservation of the long-term prosperity and stability of Hong Kong.

#### IV. International Security Cooperation

As a permanent member of the United Nations Security Council and a large country in the Asia-Pacific region, China attaches great importance to, and takes an active part in, international security cooperation by sticking to its principles and promises, treating

others in a sincere and friendly way, and developing cooperation. In recent years, China has actively carried out exchanges with foreign armed forces on the basis of mutual equality and mutual benefit. China has also actively participated in multilateral and bilateral security dialogues and cooperation in the Asia-Pacific region, as well as in United Nations peace-keeping operations, playing its due part in keeping peace in the region and the world as a whole.

### Foreign Military Contacts

As an important component of China's overall diplomacy, China's foreign military contacts are subordinate to and serve the modernization of national defense and the armed forces. China insists on dealing with its foreign military relations independently and engaging in military exchanges and cooperation based on the Five Principles of Peaceful Coexistence. In its contacts with foreign military circles, China has always advocated the principles of mutual respect, enhancing understanding, developing friendship, mutual benefit and cooperation. Chinese armed forces have been active in participating in multilateral military diplomatic activities to bring the positive role of the Chinese armed forces into full play in the sphere of international military affairs.

China has been active in developing an omni-directional and multi-level form of military diplomacy. So far, Chinese armed forces have established relations with the armed forces of more than 100 other countries. China has set up military attach\*)\_\*'s offices in more than 90 Chinese embassies abroad, and some 60 countries have set up their military attach's offices in China. In the last 20 years, more than 1,300 Chinese military delegations, of which some 180 were headed by senior officers, have visited over 80 countries. In the meantime, 2,100-some foreign military delegations involving several tens of thousands of persons have visited China, more than half of which were high-ranking delegations headed by defense ministers, commanders-in-chief of the armed forces or chiefs of the general staff.

China has always placed the development of military contacts with adjacent countries in a prominent position. Following the principles of good-neighborliness and friendliness, mutual benefit and cooperation and long-term stability, it has developed extensive and beneficial contacts with the armed forces of those countries, especially contacts on the senior level. In 1996 and 1997 alone, China sent more than 100 military delegations to most of its adjacent countries, and hosted over 130 military delegations from such countries. China has placed special stress on friendly military exchanges and cooperation with developing countries, and has offered assistance in personnel training, equipment and health care to over 70 countries. Since 1973, China has trained nearly 10,000 officers at all levels as well as military technicians for developing countries, and sent over 8,000 experts to those countries. China is enthusiastic for expanding military relations with the United States and other Western countries in Europe. Proceeding from the objective of safeguarding world peace and the fundamental interests of the people all over the world, Chinese armed forces have successively resumed and improved their relations with the armed forces of those countries on the principle of increasing dialogue and narrowing differences, resulting in the deepening of mutual understanding.

Since the beginning of the 1990s China's naval vessels have visited nearly a score of countries. From March to May 1997, two formations of Chinese naval vessels made friendly visits to the United States, Mexico, Chile, Peru, Thailand, the Philippines and Malaysia, which have enhanced the friendship between the armed forces of China and the armed forces and people of those countries.

In their foreign contacts, Chinese armed forces stress technological exchanges in specialized fields. They have developed extensive exchanges and cooperation with armed forces in other parts of the world in the fields of scientific research, academic studies, military education, armed forces administration, culture, sports, and medical and hygiene work.

The positive, extensive foreign military contacts on the part of the Chinese armed forces have promoted mutual understanding and trust between the PLA and other armed forces. The Chinese armed forces, which have gone among the international community, have presented themselves before the world as a civilized force and a force of peace, a force which has made its due contributions to keeping regional peace and peace throughout the world.

#### Promoting Confidence-Building Measures

China places great stress on and actively promotes cooperation in confidence-building measures (CBM), considering the establishment of mutual trust between nations as an effective way to maintain security. In recent years, China has reached agreements with some neighboring countries on confidence-building measures and reduction of military forces in border areas, which is an important step China has taken to develop relations with other countries and promote regional peace and stability. These agreements reflect a new kind of security concept vigorously advocated by China and embody some principles and spirit of universal significance for Asian-Pacific security dialogues and cooperation. These include mutual and equal security; seeking security by establishing mutual trust, dialogue and cooperation without interfering in the internal affairs of other countries and without aiming at a third party; preventing military forces from threatening or harming other countries' security and stability; implementing and sticking to a national defense policy that is defensive in nature; adopting suitable confidence-building measures in border and disputed areas on a bilateral basis; and engaging in friendly contacts between military forces.

In April 1996, China and Russia, Kazakhstan, Kyrgyzstan and Tajikistan signed the Agreement on Confidence-Building in the Military Field Along the Border Areas, which stipulates that military forces deployed in the border areas shall not be used to attack each other; each party shall refrain from staging military exercises directing against the other; there shall be restrictions on the military exercises in terms of scale, area and the number of such exercises; all the important military activities of one party in the areas between the border and 100 kilometers from the border line shall be notified to the other which shall be invited to observe the troop exercises; measures shall be taken to prevent dangerous military activities and enhance friendly exchanges of their armed forces in the

border areas.

In November 1996, China and India signed the Agreement on Confidence-Building Measures in the Military Field Along the Line of Actual Control in the China-India Border Areas. The agreement provides that each side should not engage in military activities that threaten the other side or undermines peace, tranquility and stability in the border areas; that they should strictly respect and observe the line of actual control in the border areas and neither side should overstep the line of actual control in their activities pending ultimate resolution of the boundary question; that they should reduce or limit the size of field army, border defense forces, para-military forces and any other mutually agreed category of armed forces and armaments deployed in the mutually agreed geographical zones along the line of actual control to the mutually agreed ceilings; that each side shall refrain from staging military exercises directing against the other in the close proximity of the line of actual control in the border areas and restrict the scale of military exercises and provide prior notification to the other with regard to military exercises of certain scale in the close proximity of the line of actual control in the border areas; that they should prevent air intrusions by military aircraft across the line of actual control and dangerous military activities in the areas along the line of actual control; that both sides should strengthen exchanges and cooperation between their military personnel and establishments in the border areas along the line of actual control.

In addition, in 1994, China and Russia signed the Agreement on Prevention of Dangerous Military Activities and the Joint Statement by the President of the People's Republic of China and the President of the Russian Federation on Non-First-Use of Nuclear Weapons and Detargeting of Strategic Nuclear Weapons Against Each Other. In January 1998, China and the United States signed the Agreement Between the Ministry of National Defense of the PRC and the Department of Defense of the USA on Establishing a Consultation Mechanism to Strengthen Military Maritime Safety. In June of the same year, President Jiang Zemin of China and President Clinton of the United States announced that the two sides had decided not to target each other with the strategic nuclear weapons under their respective control. In addition, confidential direct redline telephone communication links have been established between the head of state of China and the heads of state of Russia and the United States.

### Regional Security Cooperation

China advocates regional-security dialogue and cooperation at different levels, through various channels and in different forms. Such dialogue and cooperation should follow these principles: participation on an equal footing, reaching unanimity through consultation, seeking common ground while reserving differences, and proceeding in an orderly way and step by step. China has participated in the ASEAN Regional Forum (ARF), Conference on Interaction and Confidence-Building Measures in Asia (CICA), Council on Security Cooperation in Asia and Pacific Region (CSCAP), Northeast Asia Cooperation Dialogue (NEACD) and other activities, holding that all countries should further mutual understanding and trust by discussions on security issues through these important governmental and non-governmental channels, so as to promote regional peace

and stability.

China has attended all the ARF foreign minister meetings and ARF senior official meetings. Chinese representatives of foreign and defense affairs have attended official and unofficial meetings within the framework of the forum, their topics of discussion including promotion of confidence-building measures, peace keeping, maritime search and rescue, the handling of emergencies and disaster relief, preventative diplomacy, non-proliferation, and guiding principles. In 1996 China and the Philippines jointly sponsored the Conference on Confidence-Building Measures in Beijing. Between sessions of the conference, which was crowned with success, foreign representatives were invited to visit Chinese military units and observe military exercises. China supports the ARF's creative explorations for the promotion of confidence-building measures and has made a series of constructive suggestions and opinions in this regard. For example, China advocates development of military medicine, science of military law and multilateral cooperation on conversion of military technologies and facilities for civilian use. It encourages the exchange of high-level visits by senior military officers, and port calls by naval vessels, as well as exchanges of military personnel between different countries, and supports cooperation in emergency rescue and disaster relief, maritime navigation safety, and marine environmental protection. In addition, every year China submits to the forum a statement on national defense policy and other related documents.

China has always been an active participant in the process of the CICA initiated by Kazakhstan, regarding the purpose of the conference as basically suiting China's security goal in Asia. It suggests that the conference develop steadily with full consideration of Asia's regional peculiarities and diversities. In 1996 China formally joined the CSCAP, and in 1997 established the CSCAP China Committee, which has always conscientiously participated in the council's activities. Since 1993, when the NEACD was founded, China has attended all NEACD meetings and, in 1996, hosted its fourth conference in Beijing. Along with other member states, China has also helped the NEACD to achieve unanimity on the guiding principle of cooperation between Northeast Asian countries.

China has held consultations in different forms with the United States, Russia, Japan, France, Canada and Australia on issues of common interest in the areas of security and defense. Officials and scholars of China's Ministry of National Defense and other related departments have participated, in increasing breadth and depth, in various discussions and other activities on Asian-Pacific security, which has promoted understanding and trust between China and the countries concerned, and shown China's positive intentions and efforts to maintain lasting peace in the Asia-Pacific region.

#### Participating in the UN Peace-Keeping Operations

As a permanent member of the UN Security Council, China has consistently engaged in efforts to maintain international peace and security. It cherishes and supports the role of the United Nations in keeping international peace and security under the guidance of the principles of the Charter of the United Nations. In order to help UN peace-keeping operations achieve success and develop in a healthy way, China holds that the following

guiding principles should be stipulated and followed:

-- The aims and principles of the Charter of the United Nations must be adhered to, especially the principles of respecting the sovereignty of all countries and non-interference in other countries' internal affairs.

-- Disputes must be settled using peaceful means, such as mediation, good office and negotiation. Compulsory means should not be adopted indiscreetly, nor should military means be resorted to even for humanitarian ends.

-- Double standards should be opposed. The policies and views of any one country or a few countries should not be imposed on the UN Security Council, and military interference by a small number of countries under the guise of the UN should not be allowed.

-- In peace-keeping operations, the following principles, which have proved to be effective in the past, should be adhered to: obtaining agreement from the country concerned beforehand, strictly observing neutrality and prohibiting the use of force except for self-defense.

-- Be practical and realistic. A peace-keeping operation should not be undertaken when conditions are not yet ripe, nor should a peace-keeping force become a party to a conflict, which would be a deviation from the fundamental purpose of peace-keeping operations.

Adhering to the above principles, China has participated in UN peace-keeping operations. In 1990, China began to assign military observers to UN peace-keeping operations; since then it has sent 437 military observers in 32 groups to join six UN peace-keeping operations, viz, the United Nations Truce Supervision Organization (UNTSO) in the Middle East, United Nations Iraq-Kuwait Observation Mission (UNIKOM), United Nations Transitional Authority in Cambodia (UNTAC), United Nations Mission for the Referendum in Western Sahara (MINURSO), United Nations Operation in Mozambique (ONUMOZ) and United Nations Observer Mission in Liberia (UNOMIL).

In 1992, the Chinese government dispatched an engineer unit to support the UNTAC peace-keeping operations. A total of 800 men were sent in two batches, who, in 18 months, repaired or extended four airports, repaired four highways totaling 640 kilometers, built or rebuilt 47 bridges and completed many other service projects, making useful contributions to the successful operations of the United Nations peace-keeping forces in Cambodia.

China still has 32 military observers serving with the UNTSO, UNIKOM and MINURSO. In May 1997, the Chinese government decided that in principle China would take part in the UN's stand-by arrangements and would provide military observers, civilian policemen, and engineering, medical, transportation and other logistic service teams in due time for UN peace-keeping operations.

Chinese personnel assisting UN peace-keeping operations have conscientiously fulfilled their responsibilities and made great contributions to world peace. Some of them have even sacrificed their lives. In the years to come, China will continue to participate in UN peace-keeping operations in a positive and down-to-earth manner.

## V. Arms Control and Disarmament

Since the end of the cold war the international security situation has tended to relax, and great advances have been made in international arms control and disarmament. The Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction (CWC) was concluded in January 1993, and came into effect in April 1997. The Treaty on the Non-Proliferation of Nuclear Weapons (NPT) was indefinitely extended in May, 1995. The Comprehensive Nuclear Test Ban Treaty (CTBT) was opened for signature in the New York UN headquarters in September 1996. Nuclear-weapon-free zones continue to expand. The Protocol on Blinding Laser Weapons and the Amended Protocol on Prohibitions or Restrictions on the Use of Mines, Booby-Traps and Other Devices attached to the Convention on Prohibitions or Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects (Convention on Certain Conventional Weapons) were adopted in October 1995 and May 1996, respectively. And in June 1997, the International Atomic Energy Agency (IAEA) passed a protocol for the purpose of increasing the effectiveness of safeguards.

But in spite of such progress, there are still some problems crying out for solutions in the sphere of disarmament. The United States and Russia still keep their large nuclear arsenals. In addition, a few military powers continue to stick to their cold war mentality and nuclear deterrence policy, strenuously developing highly advanced and sophisticated weapons, especially advanced missile defense systems. The nuclear tests conducted by India, and then by Pakistan, in May 1998 have not only seriously impeded international non-proliferation efforts, but have produced a grave impact on regional and world peace and stability.

The Chinese government highly stresses the importance of arms control and disarmament work, and takes it as an important component of its overall diplomacy and defense policy. The Chinese government holds that the international community should promote fair, rational, comprehensive and balanced arms control and disarmament; the purpose of disarmament should be to reinforce, not weaken or undermine, the security of all countries; the universality of the international arms control treaties should be enhanced; new treaties should be concluded through a broadly representative multilateral negotiations mechanism; those countries having the largest and most sophisticated conventional and nuclear arsenals should continue to fulfil their special responsibilities for disarmament; efforts should be made to prevent a few countries directing the target of disarmament at a broad spectrum of developing countries in order to deprive them of their legitimate right and means for self-defense, at the same time taking advantage of their own advanced military technology and superior economic strength to seek absolute security and military superiority; the existing discriminatory and exclusive export control

mechanisms and arrangements should be overhauled and rectified comprehensively, and a fair and rational international non-proliferation system should be set up through negotiations on the basis of universal participation.

China has steadfastly attended multilateral negotiations on arms control and disarmament, and some related international conferences. In April 1997, China and Russia, Kazakhstan, Kyrgyzstan and Tajikistan signed the Agreement on Mutual Reduction of Military Forces in the Border Areas. It stipulates that the five countries shall reduce their military forces in the border areas to the minimum level compatible with their friendly and good-neighborly relations, a level that shall not go beyond their defense needs; none of the parties shall use or threaten to use force against the other party or parties, neither shall they seek unilateral military superiority; they shall reduce and limit the size of their ground force, air force, air aviation and border guard units as well as the quantity of main categories of their armaments and military equipment deployed in the border areas as deep as 100 kilometers from their border; they shall determine the ceilings for the reduced size, modality and the time limit for the reduction of military forces; combat vessels shall not be deployed in rivers in the above-mentioned areas; they shall exchange relevant information and data on the military forces in the border areas; and they shall monitor and verify the implementation of the Agreement. China has also set up bilateral arms control consultation mechanisms with many other countries. China has signed or ratified almost all the multilateral arms control treaties, and faithfully fulfilled its obligations under those treaties, making a positive contribution to the progress of international arms control and disarmament.

### The Issue of Nuclear Weapons

As a nuclear-weapon state, China vigorously supports and participates in the international non-nuclear proliferation efforts, promotes the process of nuclear disarmament and works hard for the realization of the final goal of the complete prohibition and thorough destruction of nuclear weapons worldwide.

China has consistently advocated the complete prohibition and thorough destruction of nuclear weapons. At the 51st Session of the UN General Assembly in 1996 China clearly put forward a five-point proposal on nuclear disarmament: 1. The major nuclear powers should abandon the nuclear deterrence policy, and the states having the largest nuclear arsenals should continue to drastically reduce their nuclear weapons stockpiles; 2. all nuclear-weapon states should commit themselves not to be the first to use nuclear weapons at any time and in any circumstances, undertake unconditionally not to use or threaten to use nuclear weapons against non-nuclear-weapon states or nuclear-weapon-free zones, and conclude a legally binding international document as soon as possible; 3. all states which have deployed nuclear weapons outside their borders should withdraw all these weapons home, and all nuclear-weapon states should pledge to support the proposal on establishing nuclear-weapon-free zones, respect the status of such zones and undertake corresponding obligations; 4. no state should develop or deploy outer space weapons or missile defense systems, which harm strategic security and stability; 5. all states should



negotiate and conclude an international convention on the complete prohibition and thorough destruction of nuclear weapons.

From the first day it possessed nuclear weapons, China has solemnly declared its determination not to be the first to use such weapons at any time and in any circumstances, and later undertook unconditionally not to use or threaten to use nuclear weapons against non-nuclear-weapon states or nuclear-weapon-free zones. China vigorously supports the efforts of the relevant countries to establish nuclear-free zones on a voluntary basis, and has signed and approved the relevant protocols of the Treaty for the Prohibition of Nuclear Weapons in Latin America and the Caribbean (Treaty of Tlatelolco), the South Pacific Nuclear-Free Zone Treaty (Treaty of Rarotonga) and the African Nuclear-Weapon-Free Zone Treaty (Treaty of Pelindaba). In April 1995 China issued an official statement, reiterating its commitment to unconditionally provide non-nuclear-weapon states and nuclear-weapon-free zones with negative security assurance, and for the first time promised to provide them with positive security assurance.

In March 1992 China acceded to the NPT and has faithfully fulfilled its international obligations to prevent the proliferation of nuclear weapons and made contributions to the indefinite extension of the treaty. China was represented at the negotiations on the CTBT from beginning to end, and signed it on September 24, 1996, the first day the treaty was opened for signature. China supports the early conclusion of the Convention on Banning the Production of Fissile Materials for Nuclear Weapons or Other Nuclear Explosive Devices (FMCT). For this purpose, the foreign ministers of China and the United States issued a joint statement in October 1994, saying that the two countries would make joint efforts to promote an early conclusion of a multilateral, non-discriminatory and effectively verifiable FMCT. In April 1997, China and four other nuclear-weapon states - the United States, Russia, Britain and France -- issued a statement, reiterating their stand for concluding, through negotiation, a FMCT as soon as possible on the basis of the mandate contained in the Shannon Report. China supports the IAEA's Program for Strengthening the Effectiveness and Promoting the Efficiency of the Safeguard System (93 + 2 Program), and promises that, on the basis of voluntary safeguard, China will negotiate and conclude with the IAEA a legally binding document at a proper time, and will adopt measures corresponding to the obligations China undertakes in accordance with the first article of the NPT.

As the international situation is tending to relax and relations between the major powers continue to improve, China believes that the conditions are now ripe for nuclear-weapon states to undertake not to be the first to use nuclear weapons against each other. So, in January 1994, China formally presented a draft for the Treaty on the Non-First-Use of Nuclear Weapons to the United States, Russia, Britain and France, proposing that the five nuclear-weapon states hold discussions on the treaty as soon as possible. China holds that such a treaty will help to promote mutual trust among nuclear-weapon states and further reduce the danger of nuclear war. While energetically promoting negotiations for conclusion of a multilateral treaty, China also actively seeks, together with other nuclear-weapon states, to undertake, on a bilateral basis, not to be the first to use nuclear weapons

against each other. So far, China and Russia have already made such a promise to each other.

### The Issue of Chemical and Biological Weapons

The Chinese government has always stood for the complete prohibition and thorough destruction of chemical weapons. China signed the CWC in January 1993, ratified the convention in December 1996 and deposited the instruments of ratification on April 25, 1997, thus becoming an original signatory state to the CWC. China supports the purpose and goals of the CWC, and advocates that chemical weapons and facilities for their production should be destroyed as soon as possible, in accordance with the related provisions in the CWC. Meanwhile, China holds that the convention should promote international economic, trade, and scientific and technological exchanges in the field of chemical industry, ensuring that chemical industry technology truly benefits mankind.

China has been active and conscientious in fulfilling the obligations stipulated in the CWC. It delivered the initial declaration and annual declaration in time and in their entirety and has accepted inspections by the convention. It has also participated in every one of the convention's executive council meetings and the two conferences of states parties.

China has been a victim of chemical weapons. Large quantities of chemical weapons abandoned by Japanese aggressor troops are found in China to this day, which still threaten the lives and property of the local people and the environment in which they live. In view of this, China demands that, in keeping with the stipulations of the convention, any country that has left chemical weapons in another country destroy, as soon as possible, such weapons wholly and thoroughly.

China advocates the complete prohibition and thorough destruction of biological weapons. It opposes the production, development and stockpiling of biological weapons by any country, and the proliferation of such weapons and related technology in any form by any country. In November 1984 China acceded to the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction (BWC). As a state party to the BWC, China has fully and conscientiously fulfilled its obligations under the convention. Since 1987 China has, year after year, reported to the United Nations on convention-related information and data concerning confidence-building measures, in accordance with the decisions of the Review Conferences of the BWC.

Having suffered grievously from biological weapons attacks in the past, China supports work that helps comprehensively to strengthen the effectiveness of the convention. It has actively participated in the work of drawing up a Protocol of the Ad Hoc Group of States Parties to the BWC established in 1994, and has made contributions to the progress of the negotiations on the Protocol. China holds, in view of the complexity of the problems relating to the verification mechanism, that every country should, in a down-to-earth way, seek effective and feasible verification measures, and formulate concrete steps to prevent

abuse of verification, and to protect the rightful commercial and security secrets of states parties. China considers that, while improving the convention's verification mechanism, international cooperation and exchanges among states parties in the sphere of biotechnology for peaceful purposes should also be strengthened.

#### The Issue of Keeping Outer Space Weapon-Free

Outer space belongs to all mankind, and should be used exclusively for peaceful purposes to benefit mankind. To this end, China stands for the complete prohibition and thorough destruction of weapons deployed in outer space. It opposes the development of anti-satellite weapons. China maintains that the international community, the big powers with the capacity to utilize outer space in particular, should take the following realistic steps to prevent a weaponized outer space: A complete ban on weapons of any kind in outer space, including anti-missile and anti-satellite weapons, so as to keep outer space free of weapons; a ban on the use of force or conduct of hostilities in, from or to outer space; and all countries should undertake neither to experiment with, produce or deploy outer space weapons nor to utilize outer space to seek strategic advantages on the ground, for example, using disposition of the important parts of ground anti-missile systems in outer space for the purpose of developing strategic defensive weapons. In addition, negotiations should be held as soon as possible for the conclusion of a legally-binding international agreement with the above-mentioned contents.

Since the beginning of the 1980s, as one of the co-sponsors of the UN General Assembly resolutions on keeping outer space weapon-free, China has promoted negotiations on this problem at the Geneva Conference on Disarmament and through other multilateral mechanisms. As early as at the founding of the Ad Hoc Committee of the Conference on Disarmament on the Prevention of an Arms Race in Outer Space, China submitted to it a paper on China's Position on the Prevention of an Arms Race in Outer Space (CD/579). Many countries have supported China's position.

#### The Issue of Anti-Personnel Landmines

China has all along attached great importance to the problem of threat to innocent people caused by the indiscriminate use of anti-personnel landmines (APLs). It is in favor of imposing proper and rational restrictions on the use and transfer of APLs in a bid to achieve the ultimate objective of comprehensive prohibition of such landmines through a phased approach. In the meantime, the Chinese government maintains that, in addressing the problem of APLs, consideration should be given to both humanitarian concern and the legitimate defense requirements of sovereign states. To safeguard the safety of their people by sovereign states through legitimate military means, including the use of APLs in accordance with the purposes and principles of the Charter of the United Nations itself is part and parcel of humanitarianism.

As a country with long land borders, China has to reserve the right to use APLs on its territory pending an alternative solution is found and its requirements in security and defense capability are catered for. China's use of APLs under legitimate circumstances is

entirely aimed at preventing foreign military interference and aggression so as to maintain national unity and territorial integrity and safeguard its people's well-being. This not only represents China's legitimate national security and defense requirements, but also accords with the relevant provisions of the Charter of the United Nations on the right to self-defense.

The PLA has always exercised strict control over the use of APLs and prohibited the indiscriminate use and laying of such landmines while actively studying the possible alternatives to APLs. China has also actively participated in the revision of the Landmine Protocol (Protocol II) to the Convention on Certain Conventional Weapons (CCW) and the discussions on the question of APLs at the UN Conference on Disarmament.

The Chinese government has all along adopted a very prudent and responsible attitude toward landmine export. In December 1994, China joined in the UN General Assembly's consultation on its resolution concerning the moratorium on the export of APLs. In April 1996, the Chinese government solemnly declared its suspension of export of APLs that are not compatible with those APLs provided for in the Amended Landmine Protocol to the CCW.

The Chinese government is of the view that the clearance of APLs is part and parcel of the overall efforts in eliminating the threat to innocent civilians resulting from the indiscriminate use of such landmines. It has consistently adopted a responsible attitude toward post-war demining question and has done considerable fruitful work in this regard. From the beginning of 1992 to the end of 1994, the PLA conducted its first large-scale demining operation in the border areas of Yunnan Province and the Guangxi Zhuang Autonomous Region, cleared a total of over one million landmines and explosive devices and destroyed nearly 200 tons of disused or de-activated ammunitions and explosive devices, covering an area of 108 square kilometers with over 170 border trade passes and ports re-opened, and over 30,000 hectares of farmland, pasture and mountain forests restored. At the end of 1997, the Chinese government decided to conduct its second large-scale demining operation in the above areas starting from November 1997 up to December 1999.

The Chinese government has always done its utmost to assist APL-affected countries. It furnished Cambodia and some other mine-affected countries with mine-detection/clearance equipment, and also helped train demining personnel for these countries, thus contributing to their smooth post-war rehabilitation. In November 1997, the Chinese President Jiang Zemin declared that China would continue to actively support international demining efforts and cooperation, including donation and provision of assistance in the fields of demining training, technology and equipment through the relevant international demining funds. The Chinese government also sent observers to participate in the Signing Ceremony of the Convention on the Prohibition of the Use, Stockpiling, Production and Transfer of Anti-Personnel Mines and on Their Destruction and the international demining roundtable (Mine Action Forum) held from 2 to 4 December 1997 in Ottawa.

## Control of the Export of Sensitive Materials and Military Equipment

The Chinese government agrees that necessary measures should be adopted to apply effective international control to the transfer of sensitive materials and technologies in order to prevent the proliferation of weapons of mass destruction and their carriers. However, at the same time, China holds that international efforts to prevent such proliferation should follow the principle of fairness and rationality, and opposes a double standard whereby anti-proliferation is used as a pretext to infringe upon the sovereignty of other countries and harm normal international cooperation and exchanges in the fields of economy, trade and science and technology.

China attaches great importance to control over the export of sensitive materials, and has implemented a series of administration measures regarding the transfer of sensitive materials on the basis of international practice.

Regarding nuclear exports, China, a signatory to the NPT, has pursued a policy of not supporting, encouraging or engaging in the proliferation of nuclear weapons and not assisting any other country to develop such weapons. It has laid down three principles regarding nuclear exports: They should serve peaceful purposes only; they should accept the safeguards of the IAEA; and they should not be retransferred to a third country without China's consent.

In November 1991 the Chinese government declared that it would report on a continuing basis to the IAEA any export to or import from non-nuclear-weapon states of nuclear materials of one effective kilogram or above. In July 1993 China officially promised that it would voluntarily report to the IAEA any imports or exports of nuclear materials, nuclear equipment and related non-nuclear materials. In May 1996 China promised that it would not offer help to nuclear facilities which had not accepted the IAEA's safeguards, including bans on exports of nuclear materials and personnel or technology exchanges and cooperation. In May 1997, the Chinese government published the Circular on Questions Pertaining to the Strict Implementation of China's Nuclear Exports Policy, which explicitly stipulates that no nuclear materials, facilities or related technologies exported by China may be supplied to or used by nuclear facilities which have not accepted the IAEA's safeguards. The circular also has strict provisions regarding exports of dual-use nuclear-related materials. In May 1997, China sent observers to attend a meeting of the Zangger Committee, one of the mechanisms of international nuclear export control, and formally joined the committee in October of that year. In September 1997, the Chinese government issued the Regulations of the People's Republic of China on Nuclear Export Control, banning any kind of assistance to nuclear facilities which have not accepted the IAEA's safeguards. In addition, nuclear exports are monopolized by the units designated by the State Council and can not be operated by any other units or individuals. The state practices a licensing system for nuclear exports, and has drawn up the Detailed List of Nuclear Export Control in light of the commonly accepted listings of this kind in the international sphere. On June 10, 1998, China promulgated the Regulations on the Control of the Export of Dual-Use Nuclear Materials and Related

Technology, imposing strict control on the export of nuclear-related dual-use materials and related technology.

China has always been cautious and responsible regarding the exports administration of chemicals. It does not export chemicals that can be used to manufacture chemical weapons, nor does it export related technologies and equipment. It supports normal international cooperation in chemical industry and exchanges of related scientific and technological materials in accordance with the CWC, and opposes any export control mechanism conflicting with the purpose of the convention.

In September 1990, the Chinese government drafted measures for strict control of the export of chemicals and their production technologies and equipment. In December 1995, it issued the Regulations of the People's Republic of China on the Supervision and Control of Chemicals, and, in accordance with these regulations, issued the List of Chemicals Subject to Supervision and Control and the Bylaws for the Implementation of the Regulations in June 1996, stipulating that import and export of related chemicals are under the centralized management of the competent departments of the chemical industry under the State Council and operated by special companies designated by such departments.

With regard to the transfer of military equipment and related technology, China respects the right of every country to independent or collective self-defense and to acquisition of weapons for this purpose in accordance with the principles contained in the Charter of the United Nations, but at the same time it is concerned about the adverse effects on world security and regional stability arising from excessive accumulations of weaponry.

For many years until the early 1980s, China did not engage in weapons exports, and since then the volume of such exports has been limited. Beginning in the mid-1980s, China's export of military products has been on the decrease: The volume of contracted business was just over two billion US dollars-worth in 1987, dropped to US\$ 600 million-worth in 1991, and did not exceed one billion US dollars-worth in the following years. The 1993-97 records of the UN register of conventional arms exports and imports of various countries show that China's exports of conventional weapons are small compared to those of some other countries.

China practices strict control of the transfer of conventional military equipment and related technologies, and observes the following principles: The export of weapons must help the recipient nation enhance its capability for legitimate self-defense; it must not impair peace, security and stability of the relevant region and the world as a whole; and it must not be used to interfere in the recipient state's internal affairs. Since 1992 China has participated in the United Nations' register of conventional arms transfers (Tables 5 and 6).

Table 5 Data of China's Participation in the United Nations Register of Conventional Arms Transfers in 1992-1996 (Exports)

Item	1992	1993	1994	1995	1996	Total
Combat tanks	97	121	82	51	3	354
Armored cars	2					2
Large-caliber guns	166			20	18	204
Operational aircraft		68			5	73
Attack helicopters						
Naval vessels	2		6	1	5	14
Missiles and launchers	24	20		18	106	168

Table 6 Data of China's Participation in the United Nations Register of Conventional Arms Transfers in 1992-1996 (Imports)

Item	1992	1993	1994	1995	1996	Total
Combat tanks						
Armored cars						
Large-caliber guns						
Operational aircraft	26				22	48
Attack helicopters						
Naval vessels		1		1		2
Missiles and launchers	144					144

In October 1997, the Chinese government published the Regulations of the People's Republic of China on the Control of Military Products Export, stipulating that a licensing system shall be practiced for China's weapons exports, and all external transfers of domestic military products shall be carried out by the departments authorized by the government and companies approved and registered by the government. The Regulations state that the business activities of such departments and companies must remain strictly within the projects approved by the government, that contracts of military products transfers must require approval from the relevant competent government departments before taking effect, and that important items of arms exports must be submitted to the State Council and the Central Military Commission for approval.

China has been consistently cautious and responsible regarding the transfer of missiles. China is not a member state of the Missile Technology Control Regime (MTCR) and has not joined its formulation and revision, but, in accordance with China's consistent position on non-proliferation and its principles concerning arms exports, the Chinese government promised to observe the then guidelines and parameters of the MTCR in February 1992. In October 1994, China reaffirmed its promise and undertook the obligation of not exporting ground-to-ground missiles inherently capable of reaching a range of at least 300 kilometers with a payload of at least 500 kilograms. In line with the above policy, China has exercised strict and effective control over the export of missiles and related materials and has never done anything in violation of its commitments.

The principles and measures to prevent the proliferation of weaponry and unwarranted transfers of military equipment that China has consistently upheld have helped to promote the development of international arms control and disarmament in a wholesome way, and to maintain world peace and regional stability.





中国的国防

[http://www.gov.cn/zwgk/2005-05/26/content\\_1107.htm](http://www.gov.cn/zwgk/2005-05/26/content_1107.htm)

中华人民共和国国务院新闻办公室

一九九八年七月·北京

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## 前言

人类历史即将进入 21 世纪。把一个和平、稳定、繁荣的世界带入新世纪，是中国政府和人民的愿望。

处在世纪之交的重要历史时期，中国正致力于现代化建设。中国需要并十分珍惜一个长期的国际和平环境，特别是良好的周边环境。中国人民愿同世界各国人民一道，为促进世界和平与发展的崇高事业，开创人类美好的未来，作出不懈的努力。

基于和平与发展的愿望，中国坚定不移地奉行防御性的国防政策，坚持国防建设服从和服务于国家经济建设，加强国际和地区安全合作，积极参与国际军备控制与裁军进程。事实证明，中国是负责任的大国，是维护世界和平与稳定的一支坚定力量。

中国曾于 1995 年发表《中国的军备控制与裁军》白皮书，主要介绍了中国在军控与裁军领域的实际努力与进展。在这里，将就中国国防建设的有关情况，作进一步的介绍和阐述。

### 一、国际安全形势

和平与发展是当今时代的主题。要和平、求合作、促发展已经成为不可抗拒的历史潮流。

当前，国际安全形势总体上继续趋向缓和。冷战结束后，多极化趋势在全球或地区范围内，在政治、经济等领域都有新的发展，世界上各种力量出现新的分化和组合。大国关系经历着重大而深刻的调整，各种伙伴关系逐渐向机制化方向发展，各国独立自主、联合自强、协调发展的意识正在加强。发展中国家总体实力增强，正在成为国际舞台上的一支重要力量。多极化趋势和经济全球化的持续发展，使国与国之间的相互依存和制约进一步加深，也有助于世界的和平、稳定与繁荣。维护世界和平的因素正在不断增长。

武装冲突和局部战争对世界全局的影响明显减弱。在过去两大军事集团对峙的背景下，一些地区的武装冲突和局部战争严重影响了世界的安全和稳定。冷战后的一个时期，地区冲突频仍，并呈上升趋势。但近几年来，一些持续多年的冲突和战乱有的已经得到解决，有的正走上政治解决的轨道或逐步降温。目前，因领土、资源争端及民族、宗教纷争而引起的武装冲突和局部战争，其规模、强度和地域相对有限，并得到不同程度的控制。国际社会的调解努力日益增加，能力不断增强。

军事因素在国家安全中仍占有重要地位。在新的国际安全环境中，世界多数国家在注重运用政治、经济和外交等手段解决争端的同时，仍把军事手段以及加强军事力量作为维护自身安全和国家利益的重要途径。一场以发展高技术武器为先导的军事领域的深刻变革正在世界范围内兴起。这场变革发展迅猛，对军队的武器装备、体制编制、作战训练及军事理论等方面将产生重大而深远的影响。为适应新的形势并争取自身优势，许多国家纷纷调整国防政策和军事战略，普遍压缩军备规模，更加注重质量建军。

经济安全在国家安全中的地位日益重要。在国际关系中，地缘政治、军事安全、意识形态因素仍然发挥着不可忽视的作用，但经济因素的作用更趋突出，国家间经济联系不断加强。以经济和科技为主的综合国力竞争进一步加剧，世界范围内围绕市场和资源等经济权益的斗争日趋激烈，经济全球化的加快和区域集团化的加深使一国的经济发展更容易受到外来因素的影响和冲击。因此，越来越多的国家把经济安

全视为国家安全的一个重要方面，亚洲金融危机使经济安全问题更为突出，提出了经济全球化过程中各国政府加强协调、共迎挑战的新课题。

亚太地区政治安全形势相对稳定。亚太地区多极化趋势加快发展，大国关系出现战略性调整并逐步趋向稳定。尽管出现了亚洲金融危机，亚太地区仍是世界上最具经济发展活力的地区之一，发展经济是各国的首要任务。亚太各国经济相互依存加深，以和平手段解决彼此的争端，注重寻求共同利益的汇合点，加强合作与协调正在成为本地区国家关系的主流。各种区域性和次区域性的多边合作不断发展，安全对话与合作正在多层次、多渠道展开。

在世界和地区范围内仍存在一些不安定因素。霸权主义和强权政治仍然是威胁世界和平与稳定的主要根源；冷战思维及其影响还有一定市场，扩大军事集团、强化军事同盟给国际安全增加了不稳定因素；有的国家依仗军事优势对他国进行军事威胁，甚至武装干涉；不公正、不合理的国际经济旧秩序仍在损害着发展中国家的利益；因民族、宗教、领土、资源等因素而引发的局部冲突时起时伏，国与国之间的一些历史遗留问题仍待解决；恐怖活动、武器扩散、走私贩毒、环境污染、难民潮等跨国问题，也给国际安全造成了新的威胁。

1998年5月，印度不顾国际社会的强烈反对，悍然进行核试验，挑起南亚核军备竞赛。之后，巴基斯坦针对印度核试验做出反应，也进行了核试验。印、巴相继核试验是对国际防止核武器扩散努力的沉重打击，给南亚地区乃至世界和平与稳定带来了严重的后果。国际社会加强防扩散机制的任务也更加紧迫。

历史证明，冷战时期以军事联盟为基础、以增加军备为手段的安全观念和体制不能营造和平。在新形势下，扩大军事集团、加强军事同盟更有悖时代潮流。安全不能依靠增加军备，也不能依靠军事同盟。安全应当依靠相互之间的信任和共同利益的联系。通过对话增进信任，通过合作谋求安全，相互尊重主权，和平解决争端，谋求共同发展。要争取持久和平，必须摒弃冷战思维，培育新型的安全观念，寻求维护和平的新方式。中国认为，这种观念和方式应包括以下几个方面：

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各国应在相互尊重主权和领土完整、互不侵犯、互不干涉内政、平等互利、和平共处五项原则基础上建立国与国之间的关系。这是全球和地区安全的政治基础和前提

。各国有权选择自己的社会制度、发展战略和生活方式，任何国家都不得以任何方式、任何借口干涉他国内政，更不应进行军事威胁或侵犯。

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各国应在经济领域加强互利合作，相互开放，消除经贸交往中的不平等现象和歧视政策，逐步缩小国家之间的发展差距，谋求共同繁荣。这是全球和地区安全的经济基础。维护正常和良好的经济、贸易和金融秩序，不仅要有完善的宏观经济管理体制和健全的经济运行体系，而且要加强地区和世界的经济联系与合作，共同创造稳定、安全的外部经济环境。

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各国应通过对话与合作增进相互了解与信任，谋求以和平方式解决国家间的分歧和争端。这是确保和平与安全的现实途径。安全是相互的，安全对话和合作旨在促进信任，而非制造对抗，更不应针对第三国，不能损害别国的安全利益。

中国作为亚太国家，高度重视本地区的安全、稳定、和平与发展。中国的亚太安全战略有3个目标，即：中国自身的稳定与繁荣，周边地区的和平与稳定，与亚太各国开展对话与合作。为此，中国致力于与各国平等相待，友好合作，重视同地区各国及各主要力量发展健康稳定的关系；积极参与地区经济合作，促进开放的地区主义；坚持以和平方式处理和解决国家间的争端；积极参与地区安全对话与合作进程。

中国在平等协商、互谅互让的基础上，同多数邻国妥善解决了边界领土问题。对于与有关邻国现存的领土和海洋权益争议，中国主张以大局为重、协商解决，使之不影响国家关系的正常发展和地区形势的稳定。中国明确提出，根据公认的国际法和现代海洋法，包括《联合国海洋法公约》所确定的基本原则和法律制度，通过和平谈判和磋商，妥善解决有关争议问题。

台湾是中国领土不可分割的一部分。结束海峡两岸分裂局面，实现祖国统一，是包括台湾同胞在内的全体中国人民的崇高使命和共同愿望。中国政府坚持以“和平统一、一国两制”的基本方针解决台湾问题，坚决反对任何制造“台湾独立”、“两个中国”、“一中一台”等分裂国家的图谋和言行。台湾问题完全是中国内政。无论是直接还是间接把台湾海峡划入任何国家或军事同盟的安全合作范围，都是对中国主权

的侵犯和干涉。中国政府努力谋求以和平方式实现国家的统一，但不承诺放弃使用武力。每一个主权国家都有权采取自己认为必要的一切手段包括军事手段，来维护本国的主权和领土完整。中国政府在采取何种方式处理台湾问题上，并无义务对任何国家或图谋分裂中国者作出承诺。中国政府反对任何国家对台军售。对台军售不仅违反基本的国际法准则，也对中国的安全及地区的和平与稳定构成威胁。

中国政府始终不渝地奉行独立自主的和平外交政策，主张在和平共处五项原则和其他公认的国际关系准则基础上，同所有国家建立和发展友好合作关系，并愿同各国一道，为维护世界和平、促进国际安全与稳定作出不懈努力。

## 二、国防政策

中国政府坚定不移地奉行防御性的国防政策。《中华人民共和国宪法》明确规定，中华人民共和国武装力量的任务是巩固国防，抵抗侵略，保卫祖国，保卫人民的和平劳动，参加国家建设事业，努力为人民服务。中国的国家利益、社会制度、对外政策和历史文化传统，决定中国必然实行防御性的国防政策。

中国始终把维护国家的主权、统一、领土完整和安全放在第一位。1840年鸦片战争以后，中国逐渐变成半殖民地半封建国家，中华民族屡遭帝国主义列强的侵略、压迫和欺凌。中国人民经过长期前赴后继的英勇奋斗才取得国家独立和民族解放，因而极为珍惜来之不易的独立自主权利。保卫祖国，抵抗侵略，维护统一，反对分裂，是中国国防政策的出发点和立足点。

中国正处于社会主义初级阶段，国家的根本任务是集中力量进行社会主义现代化建设。中国人口多、底子薄，地区发展不平衡，生产力不发达的状况在相当长一段时间内还会继续存在。中国面临着极为繁重的经济建设任务，国防建设必须服从和服务于国家经济建设的大局。中国所选择的符合自己国情的社会制度、发展战略和生活方式，不会产生侵略别国的因素。

中国的发展需要一个长期的国际和平环境特别是良好的周边环境。中国始终不渝地奉行独立自主的和平外交政策，主张从中国人民和世界人民的根本利益出发来处理国际事务，不同任何大国或国家集团结盟；主张通过协商和平解决国家间的纠纷和争端，反对诉诸武力或以武力相威胁，反对霸权主义和强权政治；主张在和平共处

五项原则的基础上，建立公正合理的国际政治经济新秩序，同所有国家发展友好合作关系。中国永远是维护世界和平和地区稳定的重要力量。中国即使将来强大了，也决不走对外侵略扩张的道路。

中国实行防御性的国防政策，还渊源于中国的历史文化传统。中国是一个有五千年文明历史的国家，有爱好和平的传统。中国古代思想家曾提出过“亲仁善邻”的思想，反映了自古以来中国人民就希望天下太平、同各国人民友好相处。这种思想表现在军事上，就是主张用非军事手段来解决争端、慎重对待战争和战略上后发制人。在几千年的历史进程中，爱和平，重防御，求统一，促进民族团结，共御外侮，始终是中国国防观念的主题。新中国的国防政策，继承和发扬了中国优良的历史文化传统。

中国的国防政策，主要包括以下内容：

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巩固国防，抵抗侵略，制止武装颠覆，保卫国家的主权、统一、领土完整和安全。这是中国国防政策的基本目标，也是中国宪法赋予中国武装力量的主要职责。中国努力避免和制止战争，努力用和平方式解决国际争端和历史遗留问题。但是，在霸权主义和强权政治依然存在的情况下，国家必须具有用军事手段捍卫主权、统一、领土完整和安全的能力。中国的国防现代化建设完全是为了自卫，是保障国家现代化建设和安全的需要。中国武装力量的规模是与保卫国家安全和利益的需要相适应的。中国独立自主、自力更生地建设和巩固国防。

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国防建设服从和服务于国家经济建设大局，国防建设与经济建设协调发展。这是中国国防建设一个长期的基本方针。国防现代化需要国家的经济力量和技术力量的支持，国防现代化水平只能随着国家经济实力的增强而逐步提高。国家坚持以经济建设为中心，国防建设必须服从和服务于这个大局，军队积极参加和支援国家经济建设。国家在集中力量进行经济建设的同时，加强国防建设，促进国防建设与经济建设协调发展。

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贯彻积极防御的军事战略方针。中国在战略上实行防御、自卫和后发制人的原则，

坚持“人不犯我，我不犯人，人若犯我，我必犯人”。中国拥有少量核武器完全是出于自卫的需要。中国坚持全民自卫原则和人民战争的战略思想，增强全民国防观念，完善国防动员体制，加强国防后备力量建设；立足现有武器装备，继承和发扬优良传统；适应世界军事领域的深刻变革，做好现代技术特别是高技术条件下的防卫作战准备。

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走有中国特色的精兵之路。在新的历史时期，中国军队努力加强质量建设，走有中国特色的精兵之路，目标是建设一支有中国特色的革命化、现代化、正规化的人民军队。减少数量，提高质量，是军队现代化建设的一条基本方针。中国军队依靠科技强军，实现军队由数量规模型向质量效能型、由人力密集型向科技密集型的转变；按照现代战争的特点，努力提高武器装备现代化建设的水平，改革和完善军队的体制编制，改进部队的训练和院校教育的内容与方法。

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维护世界和平，反对侵略扩张行为。中国坚持和平共处五项原则，独立自主地处理对外军事关系，开展军事交流与合作，不搞霸权主义，不搞军事集团，不进行军事扩张，不在国外驻军或建立军事基地。中国反对军备竞赛，主张根据公正、合理、全面、均衡的原则，实行有效的军备控制和裁军。中国支持国际社会采取的有利于维护世界和地区和平、安全、稳定的活动，支持国际社会为公正合理地解决国际争端、军备控制和裁军问题所做的努力。

### 三、国防建设

中国的国防建设，是国家现代化建设的重要内容。在新的历史条件下，中国军队坚持中国共产党的绝对领导，贯彻积极防御的战略方针，加强质量建设，依法治军，勤俭建军，积极参加和支援国家经济建设，为国家的安全稳定和现代化建设作出了重大贡献。

#### 国防体制

中国根据宪法、国防法及其他有关法律，建立和完善国防体制。国家对国防活动实行统一的领导。



中华人民共和国全国人民代表大会是最高国家权力机关，决定战争和和平的问题，并行使宪法规定的国防方面的其他职权。全国人民代表大会常务委员会是全国人民代表大会的常设机关，决定战争状态的宣布，决定全国总动员或者局部动员，并行使宪法规定的国防方面的其他职权。国家主席根据全国人民代表大会的决定和全国人民代表大会常务委员会的决定，宣布战争状态，发布动员令，并行使宪法规定的国防方面的其他职权。国务院领导和管理国防建设事业。中央军事委员会领导并统一指挥全国武装力量。

中国人民解放军实行中央军事委员会领导下的总参谋部、总政治部、总后勤部、总装备部体制。总参谋部负责组织领导全国武装力量的军事建设，组织指挥全国武装力量的军事行动。总政治部负责管理全军党的工作，组织进行政治工作。总后勤部负责组织领导全军后勤工作。总装备部负责组织领导全军武器装备建设工作。

中华人民共和国武装力量，由中国人民解放军现役部队和预备役部队、中国人民武装警察部队、民兵组成。中国人民解放军现役部队是国家的常备军，主要担负防卫作战任务，必要时可以依照法律规定协助维护社会秩序；预备役部队平时按照规定进行训练，必要时可以依照法律规定协助维护社会秩序，战时根据国家发布的动员令转为现役部队。中国人民武装警察部队担负国家赋予的安全保卫任务，维护社会秩序。民兵在军事机关的指挥下，担负战备勤务、防卫作战任务，协助维护社会秩序。中国人民解放军由陆军、海军、空军和第二炮兵组成，在全国范围内设立7个军区。

国家对国防科研生产实行统一领导和计划调控。国务院负责领导和管理国防科研生产，管理国防经费和国防资产。中央军事委员会批准武装力量的武器装备体制和武器装备发展规划、计划，协同国务院领导和管理国防科研生产，会同国务院管理国防经费和国防资产。国家实行国家军事订货制度，保障武器装备和其他军用物资的采购供应。国家对国防经费实行财政拨款制度，并根据国防建设和经济建设的需要，确定国防资产的规模、结构和布局，调整和处分国防资产。

国务院和中央军事委员会共同领导动员准备和动员实施工作。国家在和平时期进行动员准备，将人民武装动员、国民经济动员、人民防空、国防交通等方面的动员准

备纳入国家总体发展规划和计划，逐步完善动员体制，建立战略物资储备制度。国家重视开展国防教育，并将国防教育纳入国民经济和社会发展规划。

## 军事法制建设

中国重视军事法制建设，把加强军事法制建设作为实现国防现代化和军队正规化的基本途径和重要保障。国家为适应新时期国防和军队建设的需要，确立了依法治军方针，全面加强军事法制建设，保障和推动中国的国防和军队建设沿着法制化轨道前进。

1982年后，中国在国家立法体制中进一步健全了军事立法体制，即全国人民代表大会及其常务委员会制定国防和军队建设的法律；中央军事委员会制定军事法规，或者与国务院联合制定军事行政法规；各总部、各军兵种、各军区制定军事规章，或者与国务院有关部门联合制定军事行政规章。中央军事委员会发布了《中国人民解放军立法程序暂行条例》，从立法规划、计划到法规起草、审议及发布实施，作了明确规定，实现了军事立法的规范化、制度化。

10多年来，中国军事立法取得了显著成绩。全国人民代表大会及其常务委员会制定了《中华人民共和国国防法》、《中华人民共和国兵役法》、《中华人民共和国军事设施保护法》、《中华人民共和国人民防空法》、《中华人民共和国预备役军官法》、《中华人民共和国香港特别行政区驻军法》、《中国人民解放军现役军官服役条例》、《中国人民解放军军官军衔条例》等12件国防和军队建设的法律以及有关法律问题的决定。国务院、中央军事委员会制定了《国防交通条例》、《征兵工作条例》、《民兵工作条例》、《中国人民解放军现役士兵服役条例》等40多件军事行政法规。中央军事委员会制定了《中国人民解放军司令部条例》、《中国人民解放军政治工作条例》、《中国人民解放军后勤条例》、《中国人民解放军内务条令》、《中国人民解放军纪律条令》、《中国人民解放军队列条令》等70多件军事法规；各总部、各军兵种、各军区制定了1000多件军事规章。中国在国防和军队建设的主要方面基本实现了有法可依，初步建立起具有中国特色的军事法体系。中国军事立法在坚持符合本国国情、军情的同时，还注重与中国在国际军事领域已经加入的条约、协定相衔接，使军事法的内容与国际法准则和惯例相一致。

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国家在国防建设领域，依法确立和健全了从中央到地方的国防领导体制和运行机制，建立了兵役制度、国防动员制度、国防科研生产制度、国防资产管理制度、军事设施保护制度以及军人抚恤优待制度等基本国防制度；在军队建设领域，依法确立了军队的性质、任务和建设方针，实行了军人衔级制度、军事训练制度、司令部工作制度、政治工作制度、后勤保障制度、警备勤务制度、军纪奖惩制度等一系列重要制度，保障了国防活动和军队建设在法律的规范和调控下有序地进行。

为了保证国家有关法律、法规在军队的统一实施，国家在军队中建立了军事执法体制、军事司法体制和军事法制机构、法律服务组织，构成了比较完整的军事法制组织体制。军事执法体制，主要由负责军事法实施的军队各级领导机关和职能部门构成，并在军级以上单位设立了履行执法监督检查职能的纪律检查机构和财务审计机构，全国大、中城市驻军建立了纠察处理违纪军人、违章军车的警备勤务机构。军事司法体制，由国家设在中国人民解放军、军区级单位、军级单位的三级军事法院和军事检察院构成，它们与军队各级保卫部门分别行使法律规定的职权，依法办理军队内部发生的刑事案件。军事法制机构，由中央军委法制局和各总部、各军兵种、各军区编设的法制机构或人员组成，负责管理全军和军队各单位的法制工作。法律服务组织，由军队各级设立的法律顾问处和法律咨询站组成，专门为军队各级领导机关决策和部队官兵的涉法问题提供法律咨询和服务。1997年底，中国军队中的法律顾问处达到240多个，律师1360多人，基层法律咨询站达到4250多个，法律咨询员65700多人。

中国重视在军队开展法制宣传教育，把法制宣传教育纳入部队教育训练的轨道。为增强官兵的法律素质，根据国家关于在全体公民中进行法制宣传教育的统一部署，1986年至1995年中国军队连续开展了两个五年普法教育活动。1996年初，中国军队开始第三个五年普法教育。

## 国防费

中国一贯注重合理的国防费规模，根据国防需要和财力可能，按照综合平衡的原则安排国防费用。改革开放以来，为集中力量进行经济建设，中国政府严格控制国防支出，国防费一直处于较低的水平。

中国对国防费始终坚持严格控制、严格管理、严格监督的原则，建立健全了完善的管理体制和法规制度。国防费预算、决算由全国人民代表大会审查批准。国家和军队的审计机关对预算的执行过程实施严格审计监督。

按支出项目分，中国国防费包括：人员生活费，主要用于军官、士兵、文职干部和职工的工资、伙食、服装等；活动维持费，主要用于部队训练、工程设施建设及维护和日常消耗性支出；装备费，主要用于武器装备的科研、试验、采购、维修、运输和储存等。按保障范围，既包括现役部队，又包括民兵、预备役部队，并承担了相当一部分社会性支出，主要用于部分退役军官的供养，军队子弟学校、幼儿园，培养军地两用人才，支援国家经济建设和参加抢险救灾。

勤俭建军、艰苦奋斗是中国军队的优良传统。中国军队除开展清仓利库和修旧利废等群众性节约活动外，还从事一些力所能及的农副工业生产和经营活动，主要目的是为了安排随军家属和子女就业，改善基层部队官兵的物质文化生活。

改革开放以来，中国政府坚持国防建设服从和服务于国家经济建设的大局，较大幅度地减少了国防投入。1979年至1994年的16年间，国防费的绝对值年平均增长6.22%，与同期全国商品零售价格总指数年平均上涨7.3%相比，实际负增长1.08%。

1995年至1997年，中国年度国防费分别为636.72亿元、720.06亿元和812.57亿元人民币。年度国防费的增加，主要是在社会经济发展和城乡居民人均收入提高的情况下，保证军队人员的生活水平能够同步提高，官兵的生存生活条件得到改善。即使这样，这期间的国防费占同期国家财政支出的比例也在逐年下降，分别为9.3%、9.1%和8.8%。

1997年度中国国防费的构成为（图表1）：人员生活费291.62亿元人民币，占35.89%；活动维持费265.36亿元人民币，占32.66%；装备费255.59亿元人民币，占31.45%。从上述构成可以看出，国防费的大部分用于人员生活和正常活动维持，而且还负担了40多亿元人民币的社会性支出，约占年度国防费的5%。

表1. 1997年度中国国防费构成（单位：亿元人民币）

与世界其他一些国家国防支出相比，中国国防支出的总体水平是比较低的（图表 2）。

表 2 . 1 9 9 7 年度中国国防费与部分国家国防费比较（单位：亿美元）

（注：按 1 9 9 7 年中国国家外汇管理局公布的汇率：1 美元 = 8 . 2 9 元人民币）

按人民币对美元的汇价计算，1 9 9 7 年中国国防费仅为 9 8 亿美元，只相当于美国的 3 . 6 7 %、俄罗斯的 6 1 . 2 5 %、英国的 2 7 . 5 3 %、法国的 2 6 . 7 %、日本的 2 2 . 7 9 %、韩国的 5 6 . 9 8 %。

中国国防支出的低水平，不仅反映在国防费的绝对值上，而且也反映在国家国防负担的相对比例上。近 2 0 年来，中国国防费占国内生产总值的比例一直在下降（图表 3）。

表 3 . 1 9 7 8 — 1 9 9 7 年中国国防费占国内生产总值（GDP）比例

与美国、俄罗斯、英国、法国、日本、韩国等国家相比，中国国防负担的相对比例也是较低的（图表 4）。

表 4 . 1 9 9 7 年度中国与部分国家国防费占国内生产总值（GDP）和财政支出比例的比较

注：表内数据来源于上述国家公布的国防报告、财政报告或其他政府文告。

### 裁减军队员额

1 9 9 7 年 9 月，中国庄严宣布：中国军队在 8 0 年代裁减军队员额 1 0 0 万的基础上，将在今后 3 年内再裁减军队员额 5 0 万。这一单方面裁军的重大战略决策，再一次充分表明了中国热爱和平的真诚愿望，是中国为推动进一步降低世界军备水平，增强相互信任，促进人类和平事业所作的新的努力。

中国奉行防御性的国防政策，中国军队的数量与规模始终控制在维护国家安全需要和国力许可的范围以内。中华人民共和国成立后，分别在1955年和1958年进行了两次较大规模的裁军行动。80年代中期，中国军队建设的指导思想实行战略性转变，从过去随时准备应付大规模侵略战争转变到平时时期建设的轨道上来，军队的规模和体制编制也进行了相应的调整。1985年，中国政府决定单方面裁减军队员额100万，至1990年实际裁减103.9万人。1990年后，中国人民解放军经过陆续调整，其规模进一步缩小。再次裁减员额50万后，中国人民解放军总规模将保持250万人。

与世界多数国家的做法不同，中国把边海防部队、兵役动员机构、农副业生产管理机构、文职人员，以及预备役部队中的现役人员，均计入中国人民解放军的总定员额。

中国此次裁军，将在3年内按计划、分步骤、积极稳妥地进行。其中，陆军拟裁减19%，海军拟裁减11.6%，空军拟裁减11%。在精简员额的同时，将优化结构，调整编组，理顺关系，走质量建军、科技强军的道路，把中国军队现代化建设提高到一个新水平。

### 参加和支援国家建设

参加和支援国家建设，是宪法赋予中国军队的一项重要任务，也是人民军队全心全意为人民服务根本宗旨的体现。80年代以来，中国军队在完成教育训练任务的同时，积极参加和支援国家经济建设，为国家的繁荣和发展作出了重要贡献。

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腾让、开放军事设施。中国军队在大量裁减员额的同时，向地方腾让、开放部分军事设施，支援国家建设事业。近20年来，军队先后向地方开放101个机场，开放和腾让29个港口码头、300多条铁路专用线、90条通信线路、1000多个仓库、300多万平方米军事用地及部分营房设施。

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参加抢险救灾。中国幅员辽阔，每年都有局部地区发生自然灾害。每当灾情发生，军队总是挺身而出，奋勇保护人民生命安全，抢救国家和人民财产。近20年来，

全军共参加抢险救灾 1 0 万多次，出动官兵 2 3 0 0 多万人次，机械车辆 1 0 0 多万台次，飞机、舰艇 1 . 5 万余架（艘）次，抢救遇险群众 1 0 0 0 多万人，抢运各类物资 2 亿多吨。

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参加国家和地方的重点工程建设。中国军队参加了国家和地方的许多重点工程建设，并担负急难险重任务。近 2 0 年来，全军共投入 4 亿多个劳动日，出动机械车辆 2 5 0 0 万台次，参加和支援重点工程项目 1 万多项。主要有铁路、高速公路和地铁工程 1 5 0 多项，隧道、涵洞 3 4 0 多个，桥梁 2 6 0 多座，公路、铁路 4 1 0 0 多公里，码头 5 0 多个，民用、军民合用机场 4 0 多个，能源工程 5 0 0 多项，水利工程 2 0 0 0 余项，通信光缆工程 2 万余公里，经济技术开发区和旅游开发区建设 5 0 0 多个。

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发挥人才技术优势，开展科技助民。军队院校和科研、医疗单位，以及专业技术部队，采取转让科技成果、协助技术攻关、帮助培训人才等方法，积极为国家建设服务。1 0 年来，军队以高新科技成果支援国家经济建设项目 1 0 0 0 多个，解决国家急需的科研攻关项目 1 5 0 多个，向社会转让科技成果 1 万多项，培训各类科技人员近百万人，帮助地方企业技术改造 9 0 0 多项，使 3 2 0 家面临困境的企业扭亏为盈。

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支援农业和扶贫开发工作。中国是一个农业大国，农业始终是国民经济的基础。为了支持农业发展，近 1 0 年来，军队疏通河道 5 0 0 多条，修筑水渠、堤坝 2 0 多万公里，兴修水库上千座，开荒造田和平整土地 2 0 0 多万公顷，为农业丰产打下了基础。驻贫困地区的部队，大力帮助当地群众发展生产，累计建立扶贫帮困点 2 . 3 万个，扶助近百万人口脱贫致富，军队集中力量支援了沂蒙山、太行山等 2 0 个重点地区的扶贫开发，帮助贫困地区创办乡镇企业 3 5 0 0 多家，实施科技扶贫项目 1 . 2 万余个，培训农业科技人员 4 5 0 多万人次。各级医疗机构和驻军医院，向贫困地区累计派出医疗队 8 6 0 队次，对口扶持乡镇医院 8 1 0 0 多所，支援医疗设备价值 2 0 0 0 多万元，义务培训医务人员 2 万多人。

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参加社会公益事业建设。近 10 年来，军队先后投入 1 亿多个劳动日，帮助修桥补路、整治美化环境、修建供水供气供电工程等公益建设事业，共达 10 万余项，植树 4 亿多株。向希望工程捐款 4 1 5 7 . 5 5 万元，捐赠各类物资价值 1 1 0 0 多万元，援建各类“希望小学”6 9 7 所，直接帮助 1 1 . 5 万多名失学儿童重返校园。

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培养军地两用人才。中国军队注重为国家经济建设事业造就和输送合格人才。根据国家经济建设的需要和官兵的愿望，《中国人民解放军内务条令》专门规定每周六可用于集体组织两用人才培训和科学文化学习。自 80 年代初中国军队开始有组织地开展培养军地两用人才工作以来，全军共有近百万名军官通过在职学习获得大专以上学历；85% 以上的士兵在服役期间接受了多种民用技术培训，其中近半数获得技术等级证书。他们在退出现役后，成为加强国家经济建设和促进社会全面进步的一支重要力量。

## 驻军香港

1997 年 7 月 1 日，中国政府恢复对香港行使主权，中国人民解放军驻香港部队开始担负香港特别行政区的防务。中国人民解放军驻香港部队进驻香港，是中国政府恢复对香港行使主权的重要标志，是维护国家主权和安全，保持香港长期繁荣稳定的重要保证。

中国人民解放军进驻香港，严格遵循了法律规定。1990 年 4 月，第七届全国人民代表大会第三次会议通过的《中华人民共和国香港特别行政区基本法》，明确规定中央人民政府负责管理香港特别行政区的防务，并派部队进驻香港。1996 年 12 月，第八届全国人民代表大会常务委员会第二十三次会议通过《中华人民共和国香港特别行政区驻军法》。1997 年 7 月 1 日，该法正式施行。香港驻军法规定，香港驻军不干预香港特别行政区的地方事务；香港驻军的任务是，担负防卫勤务，管理军事设施，办理有关的涉外军事事宜，确保香港的安全稳定；香港驻军的费用由中央人民政府负担；香港驻军实行人员轮换制度。香港驻军法还对驻军人员的义务与纪律以及司法管辖等问题作了规定。这就从根本上保障了香港驻军依法履行防务职责。



中国人民解放军驻香港部队由陆军、海军和空军部队组成，隶属中华人民共和国中央军事委员会领导。驻香港部队遂行防务，必须遵守全国性的法律和香港特别行政区的法律，执行中国人民解放军现行的条令条例和规章制度。

中国人民解放军驻香港部队进驻香港之后，严格遵守香港基本法和香港驻军法，坚持依法履行防务职责，积极组织部队训练，加强部队正规化建设，学习香港的有关法律，使官兵熟悉香港的社会情况。按照香港驻军法的规定，与香港特区政府建立了工作联系，向香港社会开放了昂船洲、赤柱两处军事营地，供香港同胞参观，增强了香港市民对驻香港部队的了解和信任。

中国人民解放军驻香港部队履行香港防务职责，是一项长期的任务。驻香港部队将始终遵循“一国两制”的方针，严格遵守香港基本法和香港驻军法，为确保香港的长期繁荣稳定作出贡献。

#### 四、国际安全合作

中国作为联合国安理会常任理事国和亚太大国，高度重视并积极参与国际安全合作，坚持原则、信守承诺、以诚相待、注重友谊、发展合作。近年来，中国在相互平等、互利互惠的基础上，积极开展与外国军队之间的交流。中国还积极参与亚太地区的多边及双边安全对话与合作，以及联合国维持和平行动，为维护世界和地区的和平发挥应有作用。

#### 对外军事交往

对外军事交往是中国总体外交的一个重要组成部分，服从和服务于国防和军队现代化建设。中国坚持在和平共处五项原则基础上，独立自主地处理对外军事关系，开展军事交流与合作。中国在对外军事交往中，一贯主张互相尊重、增进了解、发展友谊、互利合作。中国军队积极参与多边军事外交活动，充分发挥中国军队在处理国际军事事务中的积极作用。

中国积极开展全方位、多层次的军事外交。目前中国军队已同世界上100多个国家的军队建立了联系，在90多个驻外使馆中设立了武官处，在中国设立武官处的

国家已达60多个。近20年来，中国派出了1300多个军事代表团组访问了80多个国家，其中军队高级官员率团出访180多批。来访的外国军队代表团组2100多个，数万人次，其中外国的国防部长、三军总司令、总参谋长等高级代表团占一半以上。

中国始终把发展同周边国家的军事交往置于突出地位，遵循睦邻友好、互利合作、长期稳定的方针，与周边国家军队开展了大量有益的交往，特别是高层交往。仅1996年、1997年两年，中国军队就派出100余批代表团相继访问了周边大多数国家，同时接待了周边国家的军事代表团130余批。中国特别注重与发展中国家的友好军事交流与合作，曾先后向70多个国家提供人员培训、装备器材、医疗卫生等方面的援助。1973年以来，为发展中国家军队培训各级指挥和专业技术人员近万名，向发展中国家派出专家8000多名。中国积极发展与美国、欧洲等西方国家的军事关系，从维护世界和平和各国人民的根本利益出发，本着加强对话、减少分歧的原则，陆续恢复和改善了与中国军队与上述国家军队的关系，加深了相互了解。

90年代以来，中国海军舰艇编队出访了近20个国家。其中，中国海军两支舰艇编队于1997年3月至5月分别对美国、墨西哥、智利、秘鲁以及泰国、菲律宾、马来西亚进行了友好访问，增进了中国军队与上述国家军队和人民的友谊。

中国军队在对外交往中，注重开展专业技术交流，在科研、学术、军事教育、军队管理、文化体育、医疗卫生等许多领域同世界各国军队进行了广泛的交流与合作。

中国军队积极活跃的对外军事交往，促进了中国军队同世界各国军队的相互了解和信任。走向国际社会的中国军队向世界展示了中国军队文明之师、和平之师的形象，在维护世界和地区和平中作出了应有的贡献。

### 建立信任措施

国家间建立信任是维护安全的有效途径，中国高度重视并积极推动建立信任措施合作。近年来，中国与有关邻国达成了一些边境地区建立信任措施和边境裁军协定，这是中国致力于国家关系的发展和地区和平稳定的重要举措。这些协定反映了中国所积极倡导的新型安全观念，体现了一些对亚太安全对话与合作具有普遍意义的原

则和精神，包括：相互同等安全；通过建立相互信任和对话合作谋求安全，安全合作不干涉别国内政、不针对第三国；军事力量不得威胁或损害他国的安全与稳定；实行和坚持防御性国防政策；在双边基础上，在边境和争议地区采取适宜的信任措施；军事力量友好交往等。

1996年4月，中国与俄罗斯、哈萨克斯坦、吉尔吉斯斯坦、塔吉克斯坦签署《关于在边境地区加强军事领域信任的协定》，规定：部署在边境地区的军事力量互不进攻对方；不进行针对对方的军事演习；限制军事演习规模、范围和次数；相互通报边界线两侧各100公里区域内的重要军事活动；邀请对方观察实兵演习；预防危险军事活动；加强双方边境地区军队之间的友好交往等。

1996年11月，中国与印度签署《关于在边境实际控制线地区军事领域建立信任措施的协定》，规定：不进行威胁对方或损害边境地区和平、安宁与稳定的任何军事活动；在边界问题最终解决前，严格尊重和遵守中印边境地区的实际控制线，任何一方的活动不得超过实际控制线；按共同商定的最高限额裁减或限制部署在实际控制线地区共同商定的地理范围内的陆军、边防部队、准军事部队，以及其他双方同意的武装力量和部分武器装备；不在实际控制线附近地区举行针对对方的军事演习，限制军事演习规模，通报实际控制线附近地区一定规模的军事演习；防止发生军用飞行器飞越实际控制线的侵犯事件；防止实际控制线地区危险军事活动；加强实际控制线附近地区双方军事人员和机构的交往与合作等。

此外，1994年，中国与俄罗斯签署《关于预防危险军事活动的协定》，《中华人民共和国主席和俄罗斯联邦总统关于互不首先使用核武器和互不将战略核武器瞄准对方的联合声明》。1998年1月，中国与美国签署《关于建立加强海上军事安全磋商机制的协定》。同年6月，中国国家主席江泽民与美国总统克林顿宣布，双方决定，不把各自控制下的战略核武器瞄准对方。中国还与俄罗斯、美国建立了国家元首间直通保密电话联系。

## 地区安全合作

中国支持在平等参与、协商一致、求同存异、循序渐进基础上，开展多层次、多渠道、多形式的地区安全对话与合作。中国参加了东盟地区论坛（ARF）、亚洲相

互协作与建立信任措施会议（CICA）、亚太安全合作理事会（CSCAP）和东北亚合作对话会（NEACD）等活动，主张通过这些政府和民间讨论安全问题的重要渠道，增进各国相互了解与信任，促进地区和平与稳定。

中国参加了历届东盟地区论坛外长会议和高官会，中国外交与国防代表参加了论坛框架内的建立信任措施、维和、海上搜救、抢险救灾、预防性外交、不扩散、指导原则等各类官方和非官方会议。中国还于1996年在北京与菲律宾联合承办了建立信任措施会议，其间邀请各国代表参观了中国军事单位和军事表演，会议取得了圆满成功。中国支持东盟地区论坛在建立信任措施领域创造性的探索，并提出了一系列建设性的建议和主张，如倡导军事医学、军事法学和军转民多边合作，鼓励高层军事互访、军舰互访和人员交流，支持抢险救灾合作以及海上航行安全、海洋环保合作等。中国还每年向论坛提交国防政策声明和其他相关文件。

中国一直积极参与哈萨克斯坦倡导的亚洲相互协作与建立信任措施会议进程，认为会议宗旨与中国的亚洲安全目标基本一致，主张会议在充分考虑亚洲地区特殊性和多样性的基础上稳步发展。中国于1996年正式加入亚太安全合作理事会，并于1997年成立亚太安全合作理事会中国委员会，中国委员会一直认真参与理事会的活动。自1993年东北亚合作对话会成立以来，中国参加了历次会议，并于1996年在北京主办了第四次大会，中国还与其他成员一道，推动对话会就东北亚国家合作指导原则达成一致。

中国与美国、俄罗斯、日本、法国、加拿大、澳大利亚等国，就共同关心的问题开展了不同形式的安全或防务磋商。中国国防部和其他有关部门的官员及学者，日益广泛和深入地参与关于亚太安全的各类研讨和相关活动，增进了中国与有关国家间的了解与信任，体现了中国维护亚太持久和平的积极意愿和努力。

### 参与联合国维持和平行动

中国作为联合国安理会常任理事国，一贯致力于维护国际和平与安全，重视并支持联合国在联合国宪章精神指导下，为维护国际和平与安全发挥其应有的作用。为使联合国维和行动取得成功并健康发展，中国认为应该确立和遵循以下指导原则：

——遵循联合国宪章的宗旨和原则，特别是尊重国家主权和不干涉内政的原则。

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坚持以斡旋、调解、谈判等和平手段解决争端，不应该动辄采取强制性行动。即使是人道主义行动，也不应诉诸军事手段。

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反对实行双重标准，不能把个别或少数国家的政策主张强加给联合国安理会。不能允许少数国家假联合国之名，行军事干涉之实。

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采取维和行动，要遵循事先征得当事国的同意、严守中立、除自卫外不得使用武力等被历史证明是行之有效的一系列原则。

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坚持实事求是，量力而行。条件不成熟时不应实施维和行动，更不应该使之成为冲突一方，偏离维和的根本方向。

中国本着上述精神参与了联合国维持和平行动方面的活动。自1990年首次向联合国维和行动派遣军事观察员以来，中国已先后向“联合国中东停战监督组织”(UNTSO)、“联合国伊拉克—科威特观察团”(UNIKOM)、“联合国柬埔寨临时权力机构”(UNTAC)、“联合国西撒哈拉公民投票特派团”(MINURSO)、“联合国莫桑比克行动”(ONUMOZ)和“联合国利比里亚观察团”(UNOMIL)等6项联合国维和行动，派出军事观察员32批437人次。

1992年，中国政府派遣工程兵部队参加联合国驻柬埔寨临时权力机构的维持和平行动。中国政府分两批共派遣800名赴柬维和官兵，在18个月内完成了机场、公路、桥梁等多项工程建设和维修任务，其中修复和扩建机场4个，修复公路4条共640公里，新架设或修复桥梁47座，并完成了其他大量的勤务工程，为保障联柬维和部队行动的顺利实施作出了贡献。

目前中国仍有32名军事观察员分别在“联合国中东停战监督组织”、“联合国伊拉克—科威特观察团”、“联合国西撒哈拉公民投票特派团”3个维和任务区执行任务。1

1997年5月，中国政府决定原则上参加联合国维和待命安排，并将在适当时候向联合国维和行动提供军事观察员、民事警察和工程、医疗、运输等后勤保障分队。

中国派出参加联合国维和行动的人员认真履行了所承担的职责，有的还献出了宝贵的生命，为维护世界和平作出了贡献。今后，中国仍将以积极务实的态度继续参加联合国维和领域的活动。

## 五、军控与裁军

随着冷战结束，国际安全形势趋于缓和，国际军控与裁军取得较大进展：《关于禁止发展、生产、储存和使用化学武器及销毁此种武器的公约》（《禁止化学武器公约》）于1993年1月达成，并于1997年4月生效；《不扩散核武器条约》于1995年5月实现无限期延长；《全面禁止核试验条约》于1996年9月在纽约联合国总部开放供签署；世界无核区范围不断扩大；《禁止或限制使用某些可被认为具有过分伤害力或滥杀滥伤作用的特定常规武器公约》（《特定常规武器公约》）“激光致盲武器议定书”以及新的“地雷议定书”分别于1995年10月和1996年5月达成；1997年6月国际原子能机构通过了旨在加强保障监督有效性的议定书。

然而，裁军领域仍面临亟待解决的问题。美、俄两国仍保留着庞大的核武库，少数军事强国仍然坚持冷战思维和核威慑政策，大力发展高精尖武器，特别是先进的导弹防御系统。1998年5月，由印度，随后由巴基斯坦进行的核试验，对国际防扩散努力造成沉重打击，也对地区乃至世界和平与稳定产生了严重影响。

中国政府高度重视军控与裁军工作，并将其作为总体外交及国防政策的重要组成部分。中国政府主张，国际社会应促进公正、合理、全面、均衡的军控与裁军；裁军的目的是增进而不是减损各国的安全；应加强国际军控条约的普遍性；继续通过具有普遍代表性的多边谈判机制谈判缔结新条约；拥有最大、最先进常规和核武库的国家应继续履行其对裁军负有的特殊责任；应防止少数国家在凭借其先进军事科技和经济实力谋求绝对安全和军事优势的同时，将裁军目标引向广大发展中国家，剥夺其正当的自卫权利和手段；应全面整顿和改造现有歧视性和排他性的出口控制制度和安排，在普遍参与的基础上，谈判制定公平合理的国际防扩散制度。

中国积极参加多边军控与裁军谈判及相关国际会议。1997年4月，中国与俄罗斯、哈萨克斯坦、吉尔吉斯斯坦和塔吉克斯坦签署《关于在边境地区相互裁减军事力量的协定》，规定：将中国与四国边境地区的军事力量裁减到与睦邻友好关系相适应的最低水平，使其只具有防御性，互不使用武力或以武力相威胁，不谋求单方面军事优势；裁减和限制部署在边界线两侧各100公里区域内的陆军、空军、防空军航空兵的人员和主要种类的武器装备，并为其及边防部队的人员和武器装备规定最高限额；在上述区域内不部署海军江河作战舰艇；确定裁减方式和期限；交换边境地区军事力量的有关资料；对执行协定情况进行监督核查等。中国还与许多国家建立了双边军控磋商机制。中国签署或批准了几乎所有的多边军控条约，并忠实地履行条约义务，为推动国际军控与裁军事业的发展作出了积极贡献。

### 核武器问题

中国作为核武器国家，积极支持和参与防止核扩散的国际努力，推动核裁军进程，致力于在全球范围内最终实现全面禁止和彻底销毁核武器的目标。

中国一贯主张全面禁止和彻底销毁核武器。1996年，中国在第五十一届联大明确提出核裁军五点主张：第一，核大国放弃核威慑政策，拥有最大核武库的国家继续大幅度削减其核武器；第二，所有核武器国家都承担在任何时候和任何情况下不首先使用核武器的义务，都承诺无条件地不对无核武器国家和无核武器区使用或威胁使用核武器，并尽早就此缔结国际法律文件；第三，所有在国外部署核武器的国家都将这些武器全部撤回本国，所有核武器国家都承诺支持建立无核武器区的主张，尊重无核武器区的地位，并承担相应的义务；第四，各国不发展、不部署外空武器系统和破坏战略安全与稳定的导弹防御系统；第五，各国谈判缔结关于全面禁止和彻底销毁核武器的国际公约。

中国从拥有核武器的第一天起就郑重声明，在任何时候、任何情况下都不首先使用核武器，此后又无条件承诺不对无核武器国家和无核武器区使用或威胁使用核武器。中国积极支持有关国家在自愿基础上建立无核区的努力，并已签署和批准了《拉美及加勒比禁止核武器条约》、《南太无核区条约》以及《非洲无核武器区条约》相关议定书。1995年4月，中国发表国家声明，重申无条件向无核武器国家和无核武器区提供消极安全保证的承诺，并首次承诺提供积极安全保证。

中国于1992年3月加入《不扩散核武器条约》，忠实履行了不扩散核武器的国际义务，并为条约的无限期延长作出了贡献。中国自始至终参加了《全面禁止核试验条约》的谈判，并于1996年9月24日条约开放签署当日签署了该条约。中国支持尽早缔结一项“禁止生产核武器用裂变材料公约”（“禁产公约”）。为此，中美两国外长曾于1994年10月发表联合声明，表示愿意共同努力，推动尽早达成一项多边、非歧视性和可有效核查的“禁产公约”。1997年4月，中国与美、俄、英、法四个核武器国家发表声明，重申五国关于支持在香农报告所载授权的基础上尽早谈判缔结“禁产公约”的立场。中国支持国际原子能机构通过的旨在加强现有保障监督体系有效性的计划（“93+2计划”），并承诺在自愿交保的基础上，在适当时候与国际原子能机构谈判签署具有法律约束力的文件，采取与中国根据《不扩散核武器条约》第一条所承担义务相适应的措施。

随着国际形势的缓和以及大国关系的不断改善，中国认为核武器国家之间承诺互不首先使用核武器的条件已经成熟。因此，中国于1994年1月正式向美、俄、英、法四国提出了《互不首先使用核武器条约》草案，并建议五个核国家尽早就此进行磋商。中国认为，缔结这样一个条约将有利于增进核武器国家间的相互信任，进一步减少核战争的危险。在积极推动谈判缔结多边条约的同时，中国还积极谋求与其他核国家在双边基础上相互承诺不首先使用核武器。目前，中俄已相互作出了不首先使用核武器的保证。

#### 化学武器和生物武器问题

中国政府一贯主张全面禁止和彻底销毁化学武器。中国于1993年1月签署了《禁止化学武器公约》，1996年12月批准该公约并于1997年4月25日交存公约批准书，从而成为公约的原始缔约国。中国支持公约的宗旨和目标，主张应尽快根据公约有关规定销毁化学武器及其生产设施；同时认为，公约应增进各国在化学领域的经贸与科技交流，使化工技术真正造福于人类。

中国积极认真履行了《禁止化学武器公约》规定的各项义务，按时并完整地递交了初始宣布和年度宣布，接受了公约组织的多次核查，并参加了公约组织的各次执行理事会和两次缔约国大会。



中国在历史上曾是化学武器的受害者，在领土上至今还遗留着侵华日军遗弃的大量化学武器，这些化学武器仍在危害当地人民的生命安全和生存环境。为此，中国要求在别国遗弃化学武器的国家按公约规定尽快干净彻底地销毁遗弃化武。

中国主张全面禁止和彻底销毁生物武器，既反对任何国家发展、生产、研制和储存生物武器，也反对任何国家以任何方式扩散此种武器及其技术。中国于1984年11月参加了《禁止发展、生产和储存细菌（生物）及毒素武器和销毁此种武器的公约》（《禁止生物武器公约》）。作为公约缔约国，中国认真、全面地履行了自己所承担的义务。1987年以来，中国一直按公约审议会的决定，逐年向联合国报告与《禁止生物武器公约》有关的建立信任措施方面的资料 and 情况。

中国在历史上也曾深受生物武器之害。中国支持全面加强《禁止生物武器公约》有效性的工作，积极参加了于1994年设立的公约缔约国特设工作组有关制定《公约》议定书的工作，并为议定书的谈判取得进展作出了贡献。中国认为，鉴于核查机制所涉问题的复杂性，各国应以实事求是的态度，探寻有效、可行的核查措施，并制定具体措施防止滥用核查，保护缔约国正当的商业和安全机密。中国认为，在加强公约核查机制的同时，还应加强缔约国为和平目的进行的生物技术国际合作与交流。

### 外空非武器化问题

外层空间属于全人类，应完全用于和平目的，造福于人类。为此，中国主张全面禁止和彻底销毁外空武器。反对发展反卫星武器。中国主张，国际社会，特别是拥有空间能力的大国，应采取切实行动防止外空武器化：全面禁止一切类型的外空武器，包括反导弹和反卫星武器，实现外空非武器化；禁止在外空、从外空或向外空使用武力或进行敌对行动；所有国家都承诺不试验、生产或部署外空武器，也不利用外空谋求地面上的战略优势，如利用在外空部署地面反导系统的重要组成部分来研制、发展战略防御武器；尽早谈判缔结包括上述内容的具有法律约束力的国际协定。

自80年代初起，中国就作为联大有关外空非武器化决议的联合提案国，推动在日内瓦裁军谈判会议等多边机制中就此展开谈判。在裁军谈判会议外空特委会成立之

初，中国即向其递交了《中国关于防止外空军备竞赛的立场文件》（CD / 579）。中国的立场得到了许多国家的支持。

### 杀伤人员地雷问题

中国历来十分重视杀伤人员地雷误伤平民的问题，支持对杀伤人员地雷的使用和转让实行适当、合理的限制，分阶段地实现全面禁止杀伤人员地雷的最终目标。同时，中国政府认为，在处理杀伤人员地雷问题时，应兼顾人道主义关切和主权国家正当防卫需要两个方面。各国根据联合国宪章的宗旨和原则，以合法的军事手段，包括使用杀伤人员地雷，保护本国人民的安全，这本身就是人道主义的重要组成部分。

中国是一个有着漫长陆地边界的国家，在找到杀伤人员地雷替代办法并形成安全防护能力以前，中国不得不保留在本国领土上使用杀伤人员地雷的权利。中国在合法条件下使用杀伤人员地雷，完全是为了防止外来军事干涉和侵略，维护国家统一与领土完整，保障人民安居乐业。这是中国正当安全防卫的需要，也符合联合国宪章关于自卫权利的规定。

中国军队一向严格控制杀伤人员地雷的使用，禁止滥布滥用，同时还对杀伤人员地雷替代办法进行研究。中国积极参加了《特定常规武器公约》“地雷议定书”的修订工作和联合国裁军谈判会议处理杀伤人员地雷问题的讨论。

中国对地雷出口历来采取十分慎重和负责的态度。1994年12月，中国参加了联合国大会关于暂停出口杀伤人员地雷决议的协商。1996年4月，中国政府郑重宣布暂停出口不符合《特定常规武器公约》新修订的“地雷议定书”所规定的杀伤人员地雷。

中国政府认为，扫除已部署的杀伤人员地雷是解决杀伤人员地雷威胁平民安全问题的一个重要组成部分。为此，中国政府在战后扫雷问题上一贯持认真负责的态度，并做了大量富有成效的工作。1992年初至1994年底，中国军队在云南省和广西壮族自治区边境地区，组织实施了第一次大规模扫雷行动，共排除各种地雷和爆炸物100多万枚，销毁废旧弹药及爆炸物品近200吨，完成扫雷面积108平方公里，打通边贸通道、口岸170多个；恢复弃耕地、弃荒牧场和山林3万多

公顷。1997年底，中国政府再次决定，从1997年11月至1999年12月，在上述地区实施第二次大规模扫雷行动。

中国政府一直尽力援助受杀伤人员地雷危害的国家，向柬埔寨等一些遭受雷害的国家提供探雷器材，帮助培训扫雷人员，为有关国家顺利进行战后重建作出了贡献。

1997年11月，中国国家主席江泽民宣布，中国将继续积极支持国际扫雷努力和国际扫雷合作，包括向国际扫雷基金提供捐赠，在扫雷培训、技术和设备方面提供援助。1997年12月2日至4日，中国政府派观察员出席了在渥太华举行的《关于禁止使用、储存、生产和转让杀伤人员地雷及销毁此种地雷的公约》签约大会，并参加了同时举行的关于国际扫雷问题的圆桌会议。

#### 敏感物资和军事装备出口控制

中国政府赞成采取必要措施对敏感物资和技术的转让实行有效国际监督，防止大规模杀伤性武器及其运载工具的扩散。但同时认为，国际防扩散努力应遵循公平、合理的原则，反对实行双重标准，不能以防扩散为名损害其他国家的主权，不能影响正常的国际经贸、科技合作与交流。

中国高度重视敏感物资的出口管制问题，参照国际惯例，对敏感物资的转让实施了一系列管理措施。

在核出口方面，作为《不扩散核武器条约》缔约国，中国奉行不主张、不鼓励、不从事核武器扩散和不帮助别国发展核武器的政策，制定了核出口三原则，即：仅用于和平目的；接受国际原子能机构的保障监督；未经中方同意不得转让第三方。

1991年11月，中国政府宣布，在连续的基础上向国际原子能机构通报中国向无核国家出口或从无核国家进口大于1有效公斤核材料的情况。1993年7月，中国正式承诺，在自愿的基础上向国际原子能机构通报所有核材料、核设备及相关非核材料的进出口情况。1996年5月，中国作出了不向未接受国际原子能机构保障监督的核设施提供帮助的承诺，包括不对其进行核出口，不与其进行人员与技术的交流与合作。1997年5月，中国政府颁布了《关于严格执行中国核出口政策有关问题的通知》，明确规定，中国出口的核材料、核设备及其相关技术，均不得提供给或用于未接受国际原子能机构保障监督的核设施。通知还对与核有关的双

用途物项的出口作出了严格规定。1997年5月，中国派观察员出席了作为国际核出口控制机制之一的“桑戈委员会”会议，并于同年10月正式加入了该委员会。

1997年9月，中国政府颁布了《中华人民共和国核出口管制条例》，规定，不得向未接受保障监督的核设施提供任何帮助；核出口由国务院指定的单位专营，任何其他单位或个人均不得经营；国家对核出口实行许可证制度。同时，参考国际上普遍接受的核出口控制清单制定了中国的《核出口管制清单》。中国已于1998年6月10日颁布了《核两用品及相关技术出口管制条例》，对与核有关的两用品及相关技术的出口实行严格控制。

在化学品出口管理方面，中国政府一直持慎重、负责的态度。中国不出口以制造化学武器为目的的化学品及技术和设备，支持根据《禁止化学武器公约》而进行的正常国际化工合作和科学技术资料交换，反对任何与《公约》宗旨相冲突的出口管制机制。

1990年9月，中国政府制定了关于严格控制化学品及其生产技术和设备的出口管理措施。1995年12月，中国政府颁布了《中华人民共和国监控化学品管理条例》，并根据该条例于1996年6月发布了《各类监控化学品名录》和《条例实施细则》，规定有关化学品进出口由国务院化学工业主管部门统一归口管理，指定专门的公司经营。

在军事装备及其技术转让问题上，中国尊重各国根据联合国宪章的原则所享有的单独或集体自卫权利以及为此目的获取武器的权利，同时也关切武器装备的过度积累对世界安全和地区稳定所产生的不利影响。

中国在较长时间里没有武器装备的出口贸易，直到80年代初才开始出口，且数量十分有限。自80年代中期以来，中国的军品出口一直呈递减趋势，合同成交额1987年为20多亿美元，而1991年仅6亿美元，此后几年也没有超过10亿美元。从1993年至1997年联合国公布的各国常规武器进出口登记情况来看，中国的常规武器出口与一些国家相比数量很小。

中国对常规军事装备及其技术转让实行严格管制，并遵循以下原则：武器出口必须有助于接受国的正当防卫能力；不损害有关地区和世界的和平、安全与稳定；不利

用军贸干涉接受国的内政。从1992年起中国参加了历次联合国常规武器转让登记(图表5、图表6)。

表5 . 1992—1996年中国参加联合国常规武器转让登记出口情况

装备种类

1992

1993

1994

1995

1996

总计

作战坦克

97

121

82

51

3

354

装甲战车

2

2

大口径火炮

166

20

18

204

作战飞机

68

5

73

攻击直升机



军舰

2

6

1

5

14

导弹及发射架

24

20

18

106

168

表 6 . 1 9 9 2 — 1 9 9 6 年中国参加联合国常规武器转让登记进口情况

装备种类

1992

1993

1994

1995

1996

总计

作战坦克

装甲战车

大口径火炮

作战飞机

26

22

48

攻击直升机

军舰

1

1

## 导弹及发射架

144

144

1997年10月，中国政府颁布了《中华人民共和国军品出口管理条例》，规定中国的武器出口实行许可证制度，境内一切军品转让均由政府授权的部门和经政府批准注册的公司对外经营，这些部门和公司须严格按照政府批准的项目从事经营活动。军品转让合同，需经政府有关主管部门批准后才能生效，重大武器的出口报国务院、中央军委批准。



中国对导弹转让历来持十分慎重和负责的态度。中国不是《导弹及其技术控制制度》（MTCR）的成员，没有参加这一制度的制定和修改，但基于在防扩散方面的一贯立场和有关武器出口的原则，中国政府于1992年2月承诺遵守《导弹及其技术控制制度》当时的准则和参数。1994年10月，中方重申这一承诺，承担了不出口内在性能至少达到300公里射程和500公斤有效载荷的地对地导弹的义务。中国根据上述政策，对导弹及相关物项的出口实行严格、有效的控制，从未做过违反承诺的事情。

中国在防扩散及军事装备转让方面所坚持的原则和做法，有助于推动国际军控与裁军沿着健康的方向发展，也有利于维护世界和平和地区稳定。